

CHARACTER SUMS, ALGEBRAIC FUNCTION  
FIELDS, CURVES WITH MANY RATIONAL POINTS  
AND GEOMETRIC GOPPA CODES

A THESIS

SUBMITTED TO THE DEPARTMENT OF MATHEMATICS  
AND THE INSTITUTE OF ENGINEERING AND SCIENCES  
OF BILKENT UNIVERSITY

IN PARTIAL FULFILLMENT OF THE REQUIREMENTS  
FOR THE DEGREE OF  
DOCTOR OF PHILOSOPHY

By

Ferruh Özbudak

August, 1997

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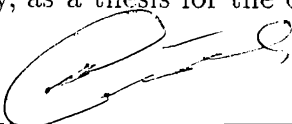
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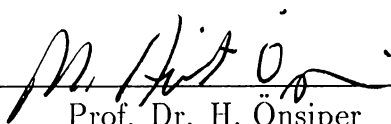
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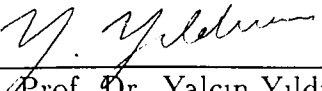
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## ABSTRACT

### CHARACTER SUMS, ALGEBRAIC FUNCTION FIELDS, CURVES WITH MANY RATIONAL POINTS AND GEOMETRIC GOPPA CODES

Ferruh Özbudak

Ph. D. in Mathematics

Advisor: Prof. Dr. S.A. Stepanov

August, 1997

In this thesis we have found and studied fibre products of hyperelliptic and superelliptic curves with many rational points over finite fields. We have applied Goppa construction to these curves to get “good” linear codes. We have also found a nontrivial connection between configurations of affine lines in the affine plane over finite fields and fibre products of Kummer extensions giving “good” codes over  $\mathbb{F}_{q^2}$ . Moreover we have calculated an important parameter of a class of towers of algebraic function fields over finite fields, which are studied recently.

*Keywords :* Algebraic curve, algebraic function field, finite field, Goppa code.

## ÖZET

### KARAKTER TOPLAMLARI, CEBİRSEL FONKSİYON CİSİMLERİ, FAZLA RASYONEL NOKTALI EĞRİLER VE GEOMETRİK GOPPA KODLARI

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Danışman: Prof. Dr. S.A. Stepanov  
Ağustos, 1997

Bu çalışmada üzerinde fazla rasyonel nokta bulunan sonlu cisimler üzerindeki hipereliptik ve supereliptik eğrilerinin fiber çarpımları bulundu ve çalışıldı. Bu eğrilere Goppa metodu uygulanarak “iyi” kodlar bulundu. Sonlu cisimler üzerindeki afine düzleminin içindeki afine doğrularının konfigürasyonlarıyla Kummer genişletmelerinin fiber çarpımları arasında “iyi” kodlar veren ilginç bir bağlantı bulundu. Ayrıca sonlu cisimler üzerindeki son zamanlarda çalışılmış olan bir tür cebirsel fonksiyon cisimlerinin önemli bir parametresi hesaplandı.

*Anahtar Kelimeler* : Cebirsel eğri, cebirsel fonksiyon cismi, sonlu cisim, Goppa kodları.



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# Chapter 1

## Algebraic Curves, Algebraic Function Fields, Linear Codes and Character Sums

The purpose of this chapter is to recall some of the fundamental definitions and relations. For further details see [44], [45], [50], [54], [23], and [24].

### 1.1 Algebraic Curves and Algebraic Function fields

Let  $k$  be an algebraically closed field. A *projective (affine) algebraic curve*  $X$  is a projective (affine) algebraic variety of dimension 1. A projective (affine) curve  $X$  is called *irreducible* if it cannot be written as  $X = X_1 \cup X_2$  where  $X_1$  and  $X_2$  are projective (affine) curves.

A point  $P \in X$  is *nonsingular* (or *simple*) if the local ring  $\mathcal{O}_P(X)$  is a discrete valuation ring. Otherwise  $P$  is called a *singular* point. There exists only finitely many singular points on a curve. The curve  $X$  is called *nonsingular* (or *smooth*) if all points  $P \in X$  are nonsingular.

Let  $X$  be a projective curve. Then there exists a nonsingular curve  $X'$  and a birational morphism  $\phi' : X' \rightarrow X$ . The pair  $(X', \phi')$  is unique in the following sense: If  $\phi'' : X'' \rightarrow X$  is another birational morphism and  $X''$  is another

nonsingular curve, then there exists a unique isomorphism  $\phi : X'' \rightarrow X'$  such that  $\phi' = \phi'' \circ \phi$ .  $(X', \phi')$  or by abuse of language  $X'$  is called the *nonsingular model* of  $X$ .

$\mathbb{A}^1$  and  $\mathbb{P}^1$  are the simplest kinds of affine and projective irreducible smooth curves, lines.

Let  $X \subset \mathbb{P}^n$  be an affine or projective variety. Let  $f, g \in k[x_0, x_1, \dots, x_n]$  be two forms of the same degree in homogenous coordinates and  $g$  is not identically zero on  $X$ . Then  $f/g$  defines a rational function on  $X$ . We say  $f/g = f'/g'$  if  $fg' - f'g$  is identically zero on  $X$ . The set of all rational functions form a field, called the *field of rational functions* on  $X$ , denoted by  $k(X)$ . Note that rational functions are rational morphisms from  $X$  to  $\mathbb{P}^1$ . Recall that if there exists a birational morphism between the curves  $X$  and  $Y$ , then  $k(X) = k(Y)$ . If the rational function is a morphism from  $X$  to  $\mathbb{A}^1 \subsetneq \mathbb{P}^1$ , then it is called a *regular function*.

Let  $X$  be a smooth irreducible projective curve over  $k$ . A *divisor*  $D$  on  $X$  is a finite formal sum  $D = \sum_{P \in X} a_P \cdot P$  where  $a_P \in \mathbb{Z}$ . The set of all divisors on  $X$  forms an abelian group denoted by  $Div(X)$ . Degree of a divisor  $\deg D$  is an additive homomorphism defined by

$$\deg D : Div(X) \rightarrow \mathbb{Z}, \quad D = \sum_{P \in X} a_P \cdot P \mapsto \sum_{P \in X} a_P.$$

Let  $D \in Div(X)$ . Then  $L(D) = \{f \in k(X) \mid (f) + D \geq 0\} \cup \{0\}$  is a vector space over  $k$ . For any  $D \in Div(X)$

$$\dim L(D) - \dim L(K - D) = \deg D - g + 1$$

is an important equality, called *Riemann-Roch* theorem. Here  $g$  is the *genus* of the curve and  $K$  is the *canonical divisor*. Since dimension is nonnegative,  $\dim L(D) \geq \deg D - g + 1$ . Moreover  $\dim L(K - D) = 0$  if  $K > D$ .

An algebraic field extension  $k'/k$  is separable if for any  $\alpha \in k'$ , its minimal polynomial  $p_\alpha(x) \in k[x]$  is a separable polynomial. A field  $k$  is called *perfect* if all algebraic extensions  $k'/k$  are separable. If  $\text{char } k = 0$  or  $|k| < \infty$ , then  $k$  is perfect.

Now assume that  $k$  is a perfect field and  $\bar{k}$  is its algebraic closure. Let  $X \subset \mathbb{A}^n(k)$  be an affine algebraic curve over  $k$ , i.e.  $I(X) \in k[x_1, x_2, \dots, x_n]$ . The set  $X(k) = X \cap \mathbb{A}^n$  is called the *k-rational points of X*. Equivalently if  $\text{Gal}(\bar{k}/k)$  is the Galois group of  $\bar{k}/k$ , then  $X(k)$  is the stabilizer of the action

of  $\text{Gal}(\bar{k}/k)$  on  $\mathbb{A}^n(k)$ . Similarly  $D = \sum_{P \in X} a_P \cdot P$  is a  $k$ -rational divisor of  $X$  if  $D$  is stabilized under the action of  $\text{Gal}(\bar{k}/k)$ . Note that the support of a  $k$ -rational divisor may have points which are not  $k$ -rational.

An *algebraic function field*  $F/k$  of one variable over  $k$  is an extension field  $k \subset F$  such that  $F$  is a finite algebraic extension of  $k(x)$  for some element  $x \in F$  which is transcendental over  $k$ . If  $k$  is a perfect field, then any algebraic function field  $F/k$  corresponds to an affine plane curve  $X : g(x, y) = 0$ , where  $x$  is a separating element for  $F/k$ ,  $F = k(x, y)$  and  $g(x, y) \in k[x, y]$  is the irreducible polynomial with  $g(x, y) = 0$ .

A *valuation ring* of the algebraic function field  $F/k$  is a ring  $\mathfrak{O} \subset F$  such that  $k \subsetneq \mathfrak{O} \subsetneq F$  and if  $z \in F$ , then  $z \in \mathfrak{O}$  or  $z^{-1} \in \mathfrak{O}$ . A *place*  $P$  of the algebraic function field  $F/k$  is the maximal ideal of a valuation ring  $\mathfrak{O}$  of  $F/k$ .

## 1.2 Linear Codes and Goppa Construction

Let  $F_q$  be a finite field with  $q$  elements. Let  $d$  be the *Hamming distance* on  $F_q^n = F_q \times \cdots \times F_q$  defined by

$$d(a, b) = |\{i : a_i \neq b_i\}|$$

where  $a = (a_1, \dots, a_n)$ ,  $b = (b_1, \dots, b_n)$ . An  $[n, k, d]_q$  linear code  $C$  is a  $k$  dimensional vector space of  $F_q^n$  with  $d = \min_{a, b \in C} d(a, b)$ , the *minimum distance*. The relative parameters of the linear code  $[n, k, d]_q$  are defined as

1.  $R = \frac{k}{n}$ : rate
2.  $\delta = \frac{d}{n}$ : relative minimum distance

There exists a bound on  $d$

$$d \leq n - k + 1 \text{ or equivalently } R \leq 1 - \delta + \frac{1}{n}$$

which is called as the *Singleton bound*.

By a “good” code we mean  $n$  is large compared to  $q$  and the Singleton bound is nearly achieved.

Now we recall the Goppa construction [19] which associates a linear  $[n, k, d]_q$  code to a smooth projective curve  $X$  of genus  $g$  defined over a finite field  $F_q$ .

Let  $\mathfrak{P} = \{P_1, \dots, P_n\}$  be a set of  $F_q$ -rational points of  $X$  and set

$$D_0 = P_1 + \dots + P_n$$

as the corresponding  $F_q$ -rational divisor. Let  $D$  be an  $F_q$ -rational divisor on  $X$  whose support is disjoint from  $D_0$ . the linear  $[n, k, d]_q$  code  $C$  is the image of the linear evaluation map

$$Ev : L(D) \rightarrow F_q^n, \quad f \mapsto (f(P_1), \dots, f(P_n)).$$

If  $\deg D < n$ , then  $Ev$  is an embedding and therefore  $k = \dim C = \dim L(D)$  by Riemann-Roch theorem

$$k \geq \deg D - g + 1.$$

Moreover  $d \geq n - \deg D$ . Therefore

$$n + 1 - g \leq k + d \leq n + 1,$$

where  $g$  is the “defect” of the Singleton bound.

For  $X = \mathbb{P}^1$  Goppa construction gives Reed-Solomon codes which are used in CD-players and Hubble telescope! However  $n \leq q$  for Reed-Solomon codes and to construct long codes with “small” Singleton defects are important both in theory and application.

### 1.3 Some Bounds on Linear Codes

Let  $F_q$  be a finite field with  $q$  elements and

$$V_q = \{(\delta(C), R(C)) \in [0, 1] \times [0, 1] \mid C \text{ is a linear code over } F_q\}.$$

Denote by  $U_q \subset V_q$  the set of limit points of  $V_q$ . Manin [26] proved the existence of a continuous function  $\alpha_q : [0, 1] \rightarrow [0, 1]$  such that

$$U_q = \{(\delta, R) \mid 0 \leq \delta \leq 1 \text{ and } 0 \leq R \leq \alpha_q(\delta)\}.$$

We know  $\alpha_q(0) = 1$ ,  $\alpha_q(\delta) = 0$  for  $1 - \frac{1}{q} \leq \delta \leq 1$  and  $\alpha_q$  is decreasing in  $0 \leq \delta \leq 1 - \frac{1}{q}$ . However the exact value of  $\alpha_q(\delta)$  is unknown. There exists several upper and lower bounds for  $\alpha_q(\delta)$ . For example let  $H_q : [0, 1 - \frac{1}{q}] \rightarrow \mathbb{R}$  be the  $q$ -ary entropy function defined by

$$H_q(0) = 0, \quad H_q(x) = x \log_q(q-1) - x \log_q(x) - (1-x) \log_q(1-x)$$

for  $0 \leq x \leq 1 - \frac{1}{q}$ . then for  $0 \leq \delta \leq 1 - \frac{1}{q}$

- i) *Bassalygo-Elias bound*:  $\alpha_q(\delta) \leq 1 - H_q(\theta - \sqrt{\theta(\theta - \delta)})$  where  $\theta = 1 - \frac{1}{q}$  and
- ii) *Gilbert-Varshamov bound*:  $\alpha_q(\delta) \geq 1 - H_q(\delta)$ .

The Gilbert-Varshamov bound is not constructive.

Let

$$N_q(g) = \max\{N(F) \mid F \text{ is an algebraic function field over } F_q \text{ of genus } g\}$$

and

$$A_q = \limsup_{g \rightarrow \infty} \frac{N_q(g)}{g}.$$

Then (see [50] page 208)

$$\alpha_q(\delta) \geq (1 - \frac{1}{A(q)}) - \delta.$$

Ihara [22] and Tsfasman-Vladut-Zink [55] proved that  $A(q) \geq q^{1/2} - 1$  for  $q = q_1^2$ . Consequently this improves Gilbert-Varshamov bound in a certain interval for all  $q \geq 49$  if  $q$  is a square. However the equations for the sequence of curves could not be written. Recently Garcia-Stichtenoth [12] [13] gave explicit sequences of curves over  $F_q$  with the optimal value of  $A_q$  for  $q$  is a square.

## 1.4 Character Sums

Let  $F_q$  be a finite field with  $q$  elements. A group homomorphism  $\chi$  from the multiplicative group  $F_q^*$  to the multiplicative group  $\mathbb{C}^*$  is called a *multiplicative character* of  $F_q$ .

A group homomorphism  $\psi$  from the additive group  $F_q$  to the multiplicative group  $\mathbb{C}^*$  is called an *additive character* of  $F_q$ . Therefore

$$\begin{aligned} \chi : F_q^* &\rightarrow \mathbb{C}^* \text{ and } \psi : F_q \rightarrow \mathbb{C}^* \text{ such that} \\ \chi(ab) &= \chi(a)\chi(b) \text{ and } \psi(a+b) = \psi(a)\psi(b). \end{aligned}$$

There exists a bound on arbitrary character sums by Weil [58].



i) *Multiplicative Version*: (See [24] page 225)

If  $m$  is the number of distinct roots of  $f(x) \in F_q[x]$  in its splitting field over  $F_q$ ,  $\chi$  is a nontrivial multiplicative character of order  $s$  and  $f(x)$  is not an  $s$ -th power of any polynomial, then

$$\left| \sum_{x \in F_q} \chi(f(x)) \right| \leq (m-1)q^{1/2}.$$

ii) *Additive Version* (See [24] page 223)

If  $n = \deg g(x)$ ,  $g(x) \in F_q[x]$  with  $\gcd(n, q) = 1$  and  $\psi$  is a nontrivial additive character of  $F_q$ , then

$$\left| \sum_{x \in F_q} \psi(g(x)) \right| \leq (n-1)q^{1/2}.$$

Stepanov gave an elementary proof of this result for the first time (See for example [45], Theorem 1, page 56).

Note that the number of affine  $F_q$ -rational points on the curves

$$\begin{aligned} y^s &= f(x), \text{ and} \\ y^p - y &= g(x) \end{aligned}$$

are

$$\begin{aligned} \sum_{\substack{\chi: \text{multiplicative} \\ \text{character of exponent } s}} \sum_{a \in F_q} \chi(f(a)) \quad \text{and} \\ \sum_{\psi: \text{additive character}} \sum_{a \in F_q} \psi(g(a)) \end{aligned}$$

respectively where  $p$  is the characteristic of  $F_q$  and  $\gcd(s, q) = 1$ . In general if  $X$  is a smooth irreducible projective curve over  $F_q$  with  $N_q$   $F_q$ -rational points (equivalently the algebraic function field  $k(X)/F_q$  has  $N_q$  places of degree 1), then

$$N_q = q + 1 - \sum_{i=1}^{2g} \alpha_i$$

where  $\alpha_i \in \mathbb{C}$  are the reciprocals of the roots of the  $L$ -polynomial of  $X$ . It is known that  $|\alpha_i| = q^{1/2}$  and this is called as the *Riemann Hypothesis for Algebraic Function Fields (or Curves)*, which implies

$$|N_q - (q + 1)| \leq 2gq^{1/2}.$$

# Chapter 2

## Codes on Hyperelliptic Curves

The purpose of this chapter is to construct long geometric Goppa codes over  $F_q$  ( $q = p^\nu$ ,  $\nu > 1$  is an odd integer). We obtain analogous results of Stepanov [47] [46] over  $F_q$  ( $q = p^\nu$ ,  $\nu > 1$  is an even integer,  $p > 2$ ). See also [48].

### 2.1 The Statement of the Results

Using curves of the form

$$y_i^2 = x^{q^{1/2}} + x + c_i \quad i = 1, 2, \dots, s$$

where  $c_i \in F_{q^{1/2}}$  and  $c_i \neq c_j$  if  $i \neq j$ , Stepanov proved the following result [47] [46].

**Theorem 1** *Let  $p > 2$  be a prime number,  $\nu > 1$  be an even integer, and  $F_q$  be a finite field with  $q = p^\nu$  elements. For any positive integer  $s \leq q^{1/2}$  and  $r > (sq^{1/2} - s)2^{s-2}$  there exists a geometric Goppa  $[n, k, d]_q$ -code  $C$  with*

$$r < n \leq (2q^{1/2} - s)q^{1/2}2^{s-1}$$

$$k \geq r - (sq^{1/2} - 3)2^{s-2}$$

$$d \geq n - r.$$

In fact he constructed curves over  $F_q$ ,  $q = p^\nu$ ,  $\nu$  : even and  $p > 2$  with the genus  $g = (q^{1/2}s - 3)2^{s-2} + 1$  and the number of  $F_q$ -rational points  $N_q \geq$

$(2q^{1/2} - s)q^{1/2}2^{s-1}$  where  $s \leq q^{1/2}$ . As a corollary in terms of the relative parameters  $R$  and  $\delta$  he constructed codes satisfying

$$R \geq 1 - \delta - \frac{sq^{1/2} - 3}{2(2q^{1/2} - s)q^{1/2}}, \quad s \leq q^{1/2}.$$

In this chapter we prove the following Theorem.

**Theorem 2** *Let  $\nu > 1$  be an odd number,  $F_q$  a finite field of characteristic  $p > 2$  consisting of  $q = p^\nu$  elements, and  $s$  an integer such that  $1 \leq s < \frac{2p^\nu + 4}{p^{(\nu-1)/2}(p+1) - 2}$ . Moreover, let  $r$  be an integer satisfying*

$$2^{s-2}((p^{(\nu-1)/2}(p+1) - 2)s - 4) < r < 2^s p^\nu.$$

*Then there exists a linear  $[n, k, d]_q$ -code with parameters*

$$r < n \leq 2^s p^\nu,$$

$$k = r - 2^{s-2}((p^{(\nu-1)/2}(p+1) - 2)s - 4),$$

$$d \geq n - r.$$

**Corollary 1** *Under the conditions of Theorem 2, there exists a linear  $[n, k, d]_q$ -code with relative parameters  $R = k/n$  and  $\delta = d/n$  such that*

$$R \geq 1 - \delta - \frac{2^{s-2}((p^{(\nu-1)/2}(p+1) - 2)s - 4)}{n}.$$

*In particular, for  $n = 2^s p^\nu$  we have*

$$R \geq 1 - \delta - \frac{(p^{(\nu-1)/2}(p+1) - 2)s - 4}{4p^\nu}.$$

## 2.2 Proof of the Lemmas

Let  $\overline{F}_q$  be an algebraic closure of the field  $F_q$  and  $\mathbb{A}^{s+1}$  be  $(s+1)$ -dimensional affine space over  $\overline{F}_q$ . Moreover let the characteristic of  $F_q$  be  $p > 2$ .

**Lemma 1** *Let  $f_1, f_2, \dots, f_s \in F_q[x]$  be pairwise coprime square-free monic polynomials of the same degree  $m \geq 3$  and  $Y$  be the complete intersection in  $\mathbb{A}^{s+1}$  given over  $F_q[x]$  via*

$$\begin{aligned} z_1^2 &= f_1(x), \\ Y : \quad z_2^2 &= f_2(x), \\ &\vdots \\ z_s^2 &= f_s(x). \end{aligned}$$

*Then the genus  $g = g(Y)$  of the curve  $Y$  is*

$$g = \begin{cases} (ms - 3)2^{s-2} + 1 & \text{if } m \text{ is odd} \\ (ms - 4)2^{s-2} + 1 & \text{if } m \text{ is even.} \end{cases}$$

PROOF. Let  $I$  be the ideal of the curve  $Y$  in  $\overline{F}_q[x, z_1, \dots, z_s]$  and  $\overline{Y}$  be the projective closure of  $Y$  in  $\mathbb{P}^{s+1}$ . The homogeneous ideal of  $\overline{Y}$  in  $\overline{F}_q[x_0, x, z_1, \dots, z_s]$  has the form  $I_h = \{f_h \mid f \in I\}$ , where  $f_h$  is the homogenization of  $f$ , i.e.  $f_h(x_0, x, z_1, \dots, z_s) = f(x/x_0, z_1/x_0, \dots, z_s/x_0)x_0^{\deg f}$ . Thus  $\overline{Y} = Y \cup \{(0, 0, \pm 1, \pm 1, \dots, \pm 1)\}$  as a set, and the curve  $\overline{Y}$  is singular at  $2^{s-1}$  points  $P_i \in \{(0, 0, 1, \pm 1, \dots, \pm 1)\}$  in general.

Let  $X$  be a normalization of  $\overline{Y}$  which in the same time is a non-singular model of  $\overline{Y}$  (see for example Shafarevich [40] Chapter 2, 5.3). There exists a finite morphism (regular map)  $\phi_1 : X \rightarrow \overline{Y}$  and composition of  $\phi_1$  with  $\phi_2$ , where  $\phi_2 : \overline{Y} \rightarrow \mathbb{P}^1$  via  $(x_0, x, z_1, \dots, z_s) \mapsto (x_0, x)$  gives a morphism  $\phi : X \rightarrow \mathbb{P}^1$  of degree  $2^s$  (see for example [40] Chapter 2, 3.1). Since  $\overline{Y}$  has  $2^{s-1}$  points  $P_i$ ,  $1 \leq i \leq 2^{s-1}$ , at the hypersurface  $x_0 = 0$  then  $\phi^{-1}(0, 1)$  consists of  $2^s$  or  $2^{s-1}$  points  $\{Q_i\} \subseteq X$ .

Let  $\Omega[\overline{Y}]$  be the space of regular differential forms on  $\overline{Y}$ . The space  $\Omega[\overline{Y}]$ , considered as a  $\overline{F}_q[x, z_1, \dots, z_s]$ -module, is generated by  $dx$  and  $dz_i$ ,  $1 \leq i \leq s$ . Since  $z_i^2 = f_i(x)$ , the space  $\Omega[\overline{Y}]$ , considered as a  $\overline{F}_q[x]$ -module, is generated by  $dx$  and  $dx/(z_{i_1} \cdots z_{i_\sigma})$ , where  $1 \leq i_1 < \cdots < i_\sigma \leq s$ . Next, since  $\phi_1$  is a morphism, the space  $\Omega[X]$  is a submodule of  $\Omega[\overline{Y}]$ , hence any differential form  $\omega \in \Omega[X]$  has the form

$$\omega = F(x)dx \quad \text{or} \quad \omega = \frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \cdots z_{i_\sigma}}$$

with  $F, F_{i_1, \dots, i_\sigma} \in \overline{F}_q[x]$ . Thus any regular differential form in  $\Omega[\overline{Y}]$  is regular at any point of  $X$ , possibly except at  $Q_i \in \phi^{-1}(0, 1)$ .

Let  $x$  be the coordinate on  $\mathbb{P}^1$ , then  $u = x^{-1}$  is a local parameter at the point  $(0, 1)$  at infinity. Since  $x$  is a rational function on  $\mathbb{P}^1$ , it defines the divisor  $(x) \in \text{Div}(\mathbb{P}^1)$ . Denoting  $\phi^{-1}(x) \in \overline{F}_q(X)$  by  $x$  and its divisor by  $(x)$  again, we get the pull-back divisor  $(x) \in \text{Div}(X)$ .

Since  $\phi^{-1}(0, 1)$  consists of  $2^s$  or  $2^{s-1}$  points  $Q_i$  then  $v_{Q_i}(u) = 1$  or  $v_{Q_i}(u) = 2$ , so  $v_{Q_i}(x) = -1$  or  $v_{Q_i}(x) = -2$ . If  $F(x)$  is a regular function on  $X$ , we have  $v_{Q_i}(F(x)dx) = -(\deg F(x) + 2)$  or  $-(2\deg F(x) + 3)$ , respectively. Thus  $F(x)dx \notin \Omega[X]$  for any  $F(x) \in \overline{F}_q[X]$ .

If  $m$  is even, then there are two cases:

- i)  $v_{Q_i}(x) = -1$  and  $v_{Q_i}(z_j) = \frac{-m}{2}$  for any  $j = 1, \dots, s$ ,
- or
- ii)  $v_{Q_i}(x) = -2$  and  $v_{Q_i}(z_j) = -m$  for any  $j = 1, \dots, s$ .

Since

$$v_{Q_i}\left(\frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \dots z_{i_\sigma}}\right) = v_{Q_i}(x)\deg F_{i_1, \dots, i_\sigma}(x) + (v_{Q_i}(x) - 1) - \sigma v_{Q_i}(z_j)$$

for any  $j = 1, \dots, s$ , then

- i)  $v_{Q_i}\left(\frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \dots z_{i_\sigma}}\right) = \frac{m\sigma}{2} - \deg F_{i_1, \dots, i_\sigma}(x) - 2$ ,
- or
- ii)  $v_{Q_i}\left(\frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \dots z_{i_\sigma}}\right) = m\sigma - 2\deg F_{i_1, \dots, i_\sigma}(x) - 3$ ,

respectively. Thus,

$$\frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \dots z_{i_\sigma}} \in \Omega[X]$$

if and only if

- i)  $\deg F_{i_1, \dots, i_\sigma}(x) \leq \frac{m\sigma}{2} - 2$ ,
- or
- ii)  $\deg F_{i_1, \dots, i_\sigma}(x) \leq \frac{m\sigma}{2} - \frac{3}{2}$ ,

respectively. Since  $m$  is even ii) is equivalent to i).

If  $m$  is odd and  $v_{Q_i}(x) = -1$ , then  $v_{Q_i}(z_j^2) = 2v_{Q_i}(z_j) = -m$  and we arrive at a contradiction. Thus only one case is possible, which is  $v_{Q_i}(x) = -2$ . In this case,

$$\frac{F_{i_1, \dots, i_\sigma}(x)dx}{z_{i_1} \dots z_{i_\sigma}} \in \Omega[X]$$

if only

$$\deg F_{i_1, \dots, i_\sigma}(x) \leq \begin{cases} \frac{m\sigma - 4}{2} & \text{if } \sigma \text{ is even,} \\ \frac{m\sigma - 3}{2} & \text{if } \sigma \text{ is odd.} \end{cases}$$

Since  $X$  is non-singular we have  $g = \dim_{\overline{F}_q} \Omega[X]$ . Thus if  $m$  is even then

$$\begin{aligned} g &= \frac{1}{2} \sum_{\sigma=1}^s \sum_{1 \leq i_1 < i_2, \dots, < i_\sigma \leq s} (m\sigma - 2) \\ &= (ms - 4)2^{s-2} + 1, \end{aligned}$$

and if  $m$  is odd then

$$\begin{aligned} g &= \frac{1}{2} \sum_{\substack{\sigma=1 \\ \sigma: \text{odd}}}^s \sum_{1 \leq i_1 < i_2, \dots, < i_\sigma \leq s} (m\sigma - 2) + \frac{1}{2} \sum_{\substack{\sigma=1 \\ \sigma: \text{odd}}}^s \sum_{1 \leq i_1 < i_2, \dots, < i_\sigma \leq s} (m\sigma - 1) \\ &= (ms - 3)2^{s-2} + 1. \end{aligned}$$

This completes the proof.  $\blacksquare$

**Lemma 2** *Let  $\nu > 1$  be an odd number,  $F_q$  a finite field of characteristic  $p > 2$  with  $q = p^\nu$  elements and  $f \in F_q[x]$  the polynomial*

$$f(x) = (x + x^{p^{(\nu-1)/2}})(x + x^{p^{(\nu+1)/2}}).$$

*If  $c$  is a non-zero element of  $F_q$ , then the polynomials  $f(x)$  and  $f(x + c)$  are relatively prime.*

PROOF. Let  $\mu = \frac{\nu-1}{2}$  and  $f'(x) = x^{p^\mu} + x$ ,  $f''(x) = x^{p^{\mu+1}} + x$ , so that  $f'(x)f''(x) = f(x)$ . We shall prove that  $(f'(x), f'(x + c)) = (1)$  and  $(f'(x), f''(x + c)) = (1)$  for any  $c \in F_{p^\nu}^*$ . This will imply  $(f(x), f(x + c)) = (1)$  for any  $c \in F_{p^\nu}^*$ .

Observe that the principal ideal  $I'$  generated by  $f'(x)$  and  $f'(x + c)$  is

$$I' = (x^{p^\mu} + x, x^{p^\mu} + x + c^{p^\mu} + c).$$

The equation

$$\alpha^{p^\mu} + \alpha = 0 \tag{1.1}$$

has no solution in  $F_{p^\nu}^*$ . Otherwise  $\alpha^{p^\mu-1} = -1$ . Then  $\alpha^{p^{2\mu}-1} = 1$ , since  $p$  is odd and hence  $2 \mid (p^\mu + 1)$ . Thus  $\alpha \in F_{p^{gcd(2\mu+1, 2\mu)}} = F_p$ . This implies  $\alpha^{p^\mu-1} = 1 \neq -1$ , a contradiction.

Observe (using the Euclidean algorithm) that if  $k \geq l$  are positive integers, and  $c \in F_{p^\nu}$ , then the principal ideal  $(x^k + x + c, x^l + x)$  in  $F_{p^\nu}[x]$  satisfies

$$(x^k + x + c, x^l + x) = (x^l + x, -x^{k-l+1} + x + c) .$$

Similarly,

$$(-x^k + x + c, x^l + x) = (x^l + x, x^{k-l+1} + x + c) .$$

Combining these we find that if  $k \geq 2l - 1$  and  $k, l$  are positive integers, then

$$(x^k + x + c, x^l + x) = (x^l + x, x^{k-2l+2} + x + c) .$$

By induction, if  $l|k$  and  $c \in F_{p^\nu}^*$ , then

$$(x^k + x + c, x^l + x) = (x^l + x, (-1)^{k/l} x^{k/l} + x + c) .$$

Applying this for  $k = p^{\mu+1}$  and  $l = p^\mu$  we find for the ideal  $I'' = (f''(x + c), f'(x))$  that

$$I'' = (x^{p^\mu} + x, -x^p + x + c^{p^{\mu+1}} + c) .$$

Now we observe that  $(g'(x), g''(x)) \supset (g'(x), (g''(x))^p)$  for any  $h', h'' \in F_{p^\nu}[x]$ . Then

$$I'' \supset J = (x^{p^\mu} + x, -x^{p^{\mu+1}} + x^{p^\mu} + \gamma^{p^{\mu+1}} + \gamma)$$

where  $\gamma = c^{p^\mu}$ . We can simplify the generators of  $J$  as

$$\begin{aligned} J &= (x^{p^\mu} + x, -x^{p^{\mu+1}} - x + \gamma^{p^{\mu+1}} + \gamma) \\ &= (x^{p^\mu} + x, x^{p^{\mu+1}} + x - \gamma^{p^{\mu+1}} - \gamma) . \end{aligned}$$

Let us show that

$$c^{p^{\mu+1}} + c \neq -\gamma^{p^{\mu+1}} - \gamma . \quad (1.2)$$

Since  $\gamma = c^{p^\mu}$  and  $c^{p^\nu} = c \in F_{p^\nu}^*$ , we can rewrite the inequality (1.2) in the form

$$c^{p^{\mu+1}} + c^{p^\mu} + 2c \neq 0 . \quad (1.3)$$

The equation

$$\beta^{p^{\mu+1}} + \beta^{p^\mu} + 2\beta = 0 \quad (1.4)$$

has no solution in  $F_{p^\nu}^*$ . Indeed, rising both sides of (1.4) to  $p^\mu$ -th power we obtain

$$\beta + \beta^{p^{2\mu}} + \beta^{p^\mu} + \beta^{p^\mu} = (\beta^{p^\mu} + \beta)^{p^\mu} + (\beta^{p^\mu} + \beta) = 0. \quad (1.5)$$

Since (1.1) has no non-zero solution the last equation also has no solution in  $F_{p^\nu}^*$ .

Now since  $f''(x+c) = x^{p^{\mu+1}} + x + c^{p^{\mu+1}} + c \in I''$ ,  $x^{p^{\mu+1}} + x - \gamma^{p^{\mu+1}} - \gamma \in J \subseteq I''$  and

$$c^{p^{\mu+1}} + c \neq -\gamma^{p^{\mu+1}} - \gamma$$

we conclude that  $I'' = (1)$ .

By symmetry  $(f''(x), f''(x+c)) = (1)$ , and  $(f''(x), f'(x+c)) = (1)$ . Using uniqueness of the factorization in  $F_{p^\nu}[x]$  we find that  $(f'(x), f'(x+c)f''(x+c)) = (1)$ ,  $(f''(x), f'(x+c)f''(x+c)) = (1)$ , and hence  $(f(x), f(x+c)) = (1)$ .  $\blacksquare$

Let  $\theta : F_q \rightarrow F_q$  be the Frobenius automorphism of  $F_q$  over  $F_p$  :  $\theta(x) = x^p$ . Let  $\chi$  be a multiplicative character of  $F_p$ . We denote by  $\chi_\nu$  the character of  $F_q$  induced by  $\chi$ :

$$\chi_\nu(x) = \chi(\text{norm}_\nu(x)) \text{ for all } x \in F_q$$

where  $\text{norm}_\nu(x) = x\theta(x) \dots \theta^{\nu-1}(x) = x x^p \dots x^{p^{\nu-1}}$ . It is easy to see that if  $p$  and  $\nu$  are odd numbers,  $\chi_\nu$  is induced by the non-trivial quadratic character of  $F_p$  and  $f(x) = (x + x^{p^{(\nu-1)/2}})(x + x^{p^{(\nu+1)/2}})$ , then

$$\chi_\nu(f(x)) = \begin{cases} 1 & \text{if } x \in F_q^* \\ 0 & \text{if } x = 0 \end{cases}$$

(See [47] Lemma 2). Let

$$\tilde{f}(x) = \frac{f(x)}{x^2} = (1 + x^{p^{(\nu-1)/2-1}})(1 + x^{p^{(\nu+1)/2-1}}).$$

Then  $\chi_\nu(\tilde{f}(x)) = 1$  for all  $x \in F_q$ , and we have the following result.

**Lemma 3** *Let  $\nu > 1$  be an odd integer,  $F_q$  a finite field of characteristic  $p > 2$  with  $q = p^\nu$  elements,  $c_1, \dots, c_s$  distinct elements of  $F_q$  and  $N_q$  the number of  $F_q$ -rational points of the curve  $Y$  defined by*

$$z_1^2 = f_1(x) = \tilde{f}(x + c_1),$$

$$Y : \quad z_2^2 = f_2(x) = \tilde{f}(x + c_2),$$

$$z_s^2 = f_s(x) = \tilde{f}(x + c_s).$$



Then

$$N_q = 2^s q.$$

PROOF. Since  $\chi_\nu(f_i(x)) = \chi_\nu(\tilde{f}(x + c_i)) = 1$  for all  $x \in F_{p^\nu}$ ,  $i = 1, \dots, s$ , then we have

$$\begin{aligned} N_q &= \sum_{x \in F_{p^\nu}} (1 + \chi_\nu(f_1(x))) \dots (1 + \chi_\nu(f_s(x))) \\ &= \sum_{x \in F_{p^\nu}} 2^s = 2^s p^\nu \end{aligned}$$

■

## 2.3 Proof of Theorem 2

Consider the curve

$$Y : z_i^2 = f_i(x) = \tilde{f}(x + c_i), \quad 1 \leq i \leq s,$$

where  $c_1, \dots, c_s$  are distinct elements of  $F_q$ . The number of  $F_q$ -rational points of  $Y$  is  $N_q = 2^s q$  by Lemma 3. The curve  $Y$  satisfies the conditions of Lemma 1, so its genus is

$$g = g(Y) = 2^{s-2}((p^{(\nu-1)/2}(p+1) - 2)s - 4) + 1.$$

Let  $S$  be the set of rational points on  $Y$  and  $S_1 \subset S$  a subset of  $S$ . Applying Goppa's construction to

$$D_0 = \sum_{P \in S_1} P$$

and

$$D = rP_\infty$$

where  $r < \deg D_0 = |S_1|$  and  $P_\infty$  is a point of non-singular model corresponding to a point at infinity of the projectivization of the affine model  $Y$ , we get  $r < n \leq 2^s p^\nu$ ,  $k \geq r+1-g$ ,  $d \geq n-r$ . Since in our case also  $2g-2 < r = \deg D < n$ , then  $k = r+1-g$ .

# Chapter 3

## Codes on Superelliptic Curves

The purpose of this chapter is to construct Goppa codes on some superelliptic curves and fibre products of them. See also [35], [36], [49].

### 3.1 Codes on Some Superelliptic Curves

S.A. Stepanov [43] proved the existence of a square-free polynomial  $f(x) \in F_p[x]$  of degree  $\geq 2(\frac{(N+1)\log 2}{\log p} + 1)$  for which

$$\sum_{i=1}^N \left( \frac{f(i)}{p} \right) = N$$

where  $\{1, \dots, N\} \subset F_p$  and  $(\frac{\cdot}{p})$  is the Legendre symbol and  $(p, 2) = 1$ . Later F. Özbudak [34] extended this to arbitrary non-trivial characters of arbitrary finite fields by following Stepanov's approach. This gives a constructable proof of the fact that Weil's estimate (see Section 1.4) is almost attainable for any  $F_q$ .

**Theorem 3** *Let  $F_q$  be a finite field of characteristic  $p$ ,  $s$  an integer  $s \geq 2$ ,  $s \mid (q-1)$ , and  $c$  be the infimum of the set*

*$C = \{x : \text{a non-negative real number} \mid \text{there exists an integer } n \text{ such that}$*

$$\frac{q^x(q-2)}{(q-1)(s-1)(1+\frac{1}{sq(s-1)})} \geq n \geq \frac{q \log s}{\log q} + x \}.$$

*Let  $r$  be an integer satisfying*

$$s(s-1) \lceil \frac{q \log s}{\log q} + c \rceil - 2s < r < sq.$$

Then there exists a linear code  $[n, k, d]_q$  with parameters

$$\begin{aligned} n &= sq, \\ k &= r - \frac{s(s-1)}{2} \left\lceil \frac{q \log s}{\log q} + c \right\rceil + s, \\ d &\geq sq - r. \end{aligned}$$

Therefore the relative parameters  $R = \frac{k}{n}$  and  $\delta = \frac{d}{n}$  satisfy

$$R \geq 1 - \delta - \frac{\frac{s(s-1)}{2} \left\lceil \frac{q \log s}{\log q} + c \right\rceil - s}{sq}.$$

**Remark 1** This result is significant especially when  $q$  is prime. The number of  $F_q$ -rational affine points in  $\mathbb{A}_{F_q}^2$  of the curve  $y^s = f(x)$  is  $N_q = sq$ , the genus of the curve is  $g = \frac{s(s-1)}{2} \left\lceil \frac{q \log s}{\log q} + c \right\rceil - s + 1$  and  $\frac{N_q}{g} \sim \frac{2 \log q}{(s-1) \log s}$ . If  $F_q$  is not a prime field, using Galois structure of  $F_q$  over a proper subfield  $F_{q'} \subsetneq F_q$ , we get much larger  $\frac{N_q}{g}$  ratios (see Theorem 4). Note that the length of the codes are  $sq > q$ .

In [42], Stepanov introduced some special sums  $S_\nu(f) = \sum_{x \in F_{q^\nu}} \chi(f(x))$  with a non-trivial quadratic character  $\chi$  by explicitly representing the polynomial  $f(x)$  whose absolute values are very close to Weil's upper bound. M. Glukhov [17], [18] generalized Stepanov's approach to the case of arbitrary multiplicative characters over arbitrary finite field  $F_q$ .

Firstly we apply the Goppa construction to the curve given over  $F_q$  by

$$y^s = f(x)$$

where  $s \mid (q-1)$  and the polynomial  $f(x)$  is obtained by Stepanov's approach to attain

$$\sum_{x \in F_q} \chi(f(x)) = q,$$

where  $\chi$  is a non-trivial multiplicative character of exponent  $s$ . Moreover we apply the Goppa construction also to the polynomials  $f(x)$  given in Glukhov's papers [17], [18] explicitly after some modification.

**Theorem 4** Let  $F_q$  be a finite field of characteristic  $p$ ,  $F_{q^\nu}$  an extension of  $F_q$  of degree  $\nu$ ,  $s$  an integer  $s \geq 2$ ,  $s \mid (q-1)$ . Moreover

i) if  $p \neq 2$ ,  $\nu > 1$  an odd integer and  $r$  an integer satisfying

$$(s-1)(1+q)q^{\frac{\nu-1}{2}} - 4s + 2 < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + 2s - (s-1)\frac{(1+q)}{2}q^{\frac{\nu-1}{2}} - 1, \\ d &\geq sq^\nu - r; \end{aligned}$$

ii) if  $p \neq 2$ ,  $\nu > 2$  an even integer and  $r$  an integer satisfying

a) when  $4 \nmid \nu$

$$(s-1)(1+q^2)q^{\frac{\nu}{2}-1} - 4s + 2 < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + 2s - (s-1)\frac{(1+q^2)}{2}q^{\frac{\nu}{2}-1} - 1, \\ d &\geq sq^\nu - r; \end{aligned}$$

b) when  $4 \mid \nu$

$$(s-1)(1+q^2)q^{\frac{\nu}{2}-1} - 2(s-1)q - 2s < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + (s-1)q + s - (s-1)\frac{(1+q^2)}{2}q^{\frac{\nu}{2}-1}, \\ d &\geq sq^\nu - r; \end{aligned}$$

iii) if  $p = 2$ ,  $\nu > 1$  an odd integer and  $r$  an integer satisfying

$$(s-1)(1+q)q^{\frac{\nu-1}{2}} - 2(s-1)q - 2s < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + (s-1)q + s - (s-1)(1+q)q^{\frac{\nu-1}{2}}, \\ d &\geq sq^\nu - r; \end{aligned}$$

iv) if  $p = 2$ ,  $\nu > 2$  an even integer and  $r$  an integer satisfying

a) when  $4 \nmid \nu$

$$(s-1)(1+q^2)q^{\frac{\nu}{2}-1} - 2(s-1)q^2 - 2s < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + (s-1)q^2 + s - (s-1)(1+q^2)\frac{q^{\frac{\nu}{2}-1}}{2}, \\ d &\geq sq^\nu - r; \end{aligned}$$

b) when  $4 \mid \nu$

$$(s-1)(1+q^2)q^{\frac{\nu}{2}-1} - 2(s-1)q - 2s < r < sq^\nu,$$

then there exists a linear code  $[n, k, d]_{q^\nu}$  with parameters

$$\begin{aligned} n &= sq^\nu, \\ k &= r + (s-1)q + s - (s-1)(1+q^2)\frac{q^{\frac{\nu}{2}-1}}{2}, \\ d &\geq sq^\nu - r. \end{aligned}$$

**Corollary 2** Under the same conditions with Theorem 3, there exists codes with relative parameters satisfying respectively

i)

$$R \geq 1 - \delta - \frac{(s-1)\frac{(1+q)}{2}q^{\frac{\nu-1}{2}} - 2s + 1}{sq^\nu},$$

ii.a)

$$R \geq 1 - \delta - \frac{(s-1)\frac{(1+q^2)}{2}q^{\frac{\nu}{2}-1} - 2s + 1}{sq^\nu},$$

ii.b)

$$R \geq 1 - \delta - \frac{(s-1)\frac{(1+q^2)}{2}q^{\frac{\nu}{2}-1} - (s-1)q - s}{sq^\nu},$$

iii)

$$R \geq 1 - \delta - \frac{(s-1)(1+q)\frac{q^{\frac{\nu-1}{2}}}{2} - (s-1)q - s}{sq^\nu},$$

iv.a)

$$R \geq 1 - \delta - \frac{(s-1)(1+q^2)^{\frac{q^{\frac{\nu}{2}}-1}{2}} - (s-1)q^2 - s}{sq^\nu},$$

iv.b)

$$R \geq 1 - \delta - \frac{(s-1)(1+q^2)^{\frac{q^{\frac{\nu}{2}}-1}{2}} - (s-1)q - s}{sq^\nu}.$$

**Remark 2** *The parameters of Theorem 4 are rather good. Moreover it is possible to calculate the minimum distance  $d$  exactly in some cases directly. For example we have such codes which are near to Singleton bound:*

*i: Over  $F_{27} \supset F_3$  if  $6 < r < 54$ , then it gives  $[54, r-3, d]_{27}$  code where  $d \geq 54 - r$ . If  $r$ : even, then  $d = 54 - r$  (see Stichtenoth [50], Remark 2.2.5).*

*ii.a: Over  $F_{729} \supset F_3$  if  $84 < r < 1458$ , then it gives  $[1458, r-42, d]_{729}$  code where  $d \geq 1458 - r$ . If  $r$ : even, then  $d = 1458 - r$ .*

*ii.b: Over  $F_{81} \supset F_3$  if  $20 < r < 162$ , then it gives  $[162, r-10, d]_{81}$  code where  $d \geq 162 - r$ . If  $r$ : even, then  $d = 162 - r$ .*

*iii: Over  $F_{64} \supset F_4$  if  $18 < r < 192$ , then it gives  $[192, r-9, d]_{64}$  code where  $d \geq 192 - r$ . If  $r \equiv 0 \pmod{3}$ , then  $d = 192 - r$ .*

*iv.a: Over  $F_{4096} \supset F_4$  if  $474 < r < 12288$ , then it gives  $[12288, r-237, d]_{4096}$  code where  $d \geq 12288 - r$ . If  $r \equiv 0 \pmod{3}$ , then  $d = 12288 - r$ .*

*iv.b: Over  $F_{256} \supset F_4$  if  $114 < r < 768$ , then it gives  $[768, r-57, d]_{256}$  code where  $d \geq 768 - r$ . If  $r \equiv 0 \pmod{3}$ , then  $d = 768 - r$ .*

*For  $\nu$ : even there are Hermitian codes (see for example Stichtenoth [50], section 7.4) which are maximal. Theorem 2 provides codes with parameters near to the parameters of maximal curves in these cases.*

## 3.2 Proof of Theorem 3

Let  $\chi$  be a multiplicative character of exponent  $s$  of  $F_q$ . If  $m \geq \frac{q \log s}{\log q} + c$ , then  $\frac{1}{m} q^m \frac{q-2}{q-1} \geq (s-1)s^q + 1$ . Note that the number of monic irreducible

polynomials of degree  $m$  over  $F_q$  is  $\frac{1}{m} \sum_{d|m} \mu(d) q^{m/d} = \frac{1}{m} q^m c_m$  (see for example [24] page 93). Here  $1 \geq c_m \geq 1 - \frac{q^m - q}{q^m(q-1)} \geq \frac{q-2}{q-1}$ . Forming  $q$ -tuples for each irreducible monic polynomial as in Stepanov [43] or Özbudak [34]; by Dirichlet's pigeon-hole principle if  $\frac{1}{m} q^m \frac{q-2}{q-1} \geq (s-1)s^q + 1$ , there exists a square-free polynomial  $f \in F_q[x]$  of degree  $\leq ms$  such that  $\chi(f(a)) = 1$  for each  $a \in F_q$ . Let  $\deg f = s \lceil \frac{q \log s}{\log q} + c \rceil$ .

Since  $s \mid (q-1)$  there are  $s$  many multiplicative characters of exponent  $s$  over  $F_q$ . Moreover for any  $\chi$  of exponent  $s$ ,  $\chi(f(a)) = 1$  for all  $a \in F_q$ . Therefore we have over the curve

$$y^s = f(x)$$

$N_q = sq$  many affine  $F_q$ -rational points (see Schmidt [39] page 79 or Stepanov [45], p.51 ).

Using the well-known genus formulas for superelliptic curves (see for example Stichtenoth [50] p. 196), the geometric genus is given by

$$g = \frac{s(s-1)}{2} \lceil \frac{q \log s}{\log q} + c \rceil - s + 1.$$

Let  $D_0$  be the divisor on the smooth model  $X$  of  $y^s = f(x)$  where

$$D_0 = \sum_1^n x_i$$

By tracing the normalization of a curve one sees that the number of rational points of the non-singular model  $X$  of the curve  $y^s = f(x)$  is more than the number of affine rational points of  $y^s = f(x)$  (see for example Shafarevich [40], section 5.3). Thus  $n = \deg D_0 \geq N_q = sq$ . Let  $x_\infty$  be a point of  $X$  at infinity,  $D = rP_\infty$  be the divisor of degree  $r$  and  $\text{supp } D_0 \cap \text{supp } D = \emptyset$ , where  $r$  to be determined. If

$$2g - 2 < r < N_q,$$

by using the Goppa construction,

$$n = N_q, \quad k = r + 1 - g, \quad d \geq N_q - r.$$

### 3.3 Proof of Theorem 4

Let  $\chi_{\nu,s}(x) = \chi_s(\text{norm}_\nu(x))$  where  $\chi_s$  is a non-trivial multiplicative character of  $F_q$  of exponent  $s$ ,  $\text{norm}_\nu = x.x^q \dots x^{q^{\nu-1}}$ . Therefore  $\chi_{\nu,s}$  is a relative

multiplicative character of  $F_{q^\nu}$  of exponent  $s$ . For  $f(x) \in F_{q^\nu}[x]$  denote by  $S_\nu(f)$  the sum  $S_{\nu,s}(f) = \sum_{x \in F_{q^\nu}} (f(x))^s$ .

Case(i):

There exists a polynomial  $f_1(x) \in F_{q^\nu}[x]$

$$f_1(x) = (x + x^{q^{\frac{\nu-1}{2}}})^a (x + x^{q^{\frac{\nu+1}{2}}})^b$$

where  $a + b = s$ ,  $a \neq b$ , and  $(a, s) = 1$  such that  $S_{\nu,s}(f_1) = q^\nu - 1$  (Glukhov [18]).

We can write

$$f_1(x) = x^s (1 + x^{q^{\frac{\nu-1}{2}} - 1})^a (1 + x^{q^{\frac{\nu+1}{2}} - 1})^b.$$

Consider  $y^s = f_1(x)$ . This curve is birationally isomorphic to

$$y^s = f_{1,1}(x) = (1 + x^{q^{\frac{\nu-1}{2}} - 1})^a (1 + x^{q^{\frac{\nu+1}{2}} - 1})^b,$$

and  $S_{\nu,s}(f_{1,1}) = q^\nu$ . Moreover we know

1.  $1 + x^m$  where  $(m, q) = 1$  is a square-free polynomial over  $F_{q^\nu}$ ,
2. If  $\nu$  is odd, then  $(1 + x^{q^{\frac{\nu-1}{2}} - 1}, 1 + x^{q^{\frac{\nu+1}{2}} - 1}) = 1$  over  $F_{q^\nu}$  for  $p \neq 2$ .

Therefore we can apply Hurwitz genus formula (see for example Stichtenoth ([50], p. 196), hence we get

$$g = (s - 1) \frac{(1 + q)}{2} q^{\frac{\nu-1}{2}} - 2(s - 1).$$

Over the curve  $y^s = f_{1,1}(x)$  there are

$$N_{q^\nu} = \sum_{\exp \chi = s} \sum_{x \in F_{q^\nu}} \chi_s(f_{1,1}(x)) = q^\nu + (s - 1) S_{\nu,s}(f_{1,1}) = s q^\nu$$

many affine  $F_{q^\nu}$ -rational points (Stepanov [45], p.51). Therefore we get the desired result as in the proof of Theorem 1.

Case(ii):

We apply the same techniques to

$$f_2(x) = x^s (1 + x^{q^{\frac{\nu}{2}-1}})^a (1 + x^{q^{\frac{\nu}{2}+1}-1})^b$$

given by Glukhov [18]. Here  $S_{\nu,s}(f_2) = \begin{cases} q^\nu - 1 & \text{if } 4 \nmid \nu \\ q^\nu - q & \text{if } 4 \mid \nu \end{cases}$ . Moreover if

$\nu \equiv 2 \pmod{4}$ , then  $(1 + x^{q^{\frac{\nu}{2}-1}-1}, 1 + x^{q^{\frac{\nu}{2}+1}-1}) = 1$ ; and if  $\nu \equiv 0 \pmod{4}$ , then



$(1 + x^{q^{\frac{\nu}{2}-1}-1}, 1 + x^{q^{\frac{\nu}{2}+1}-1}) = 1 + x^{q-1}$  over  $F_{q^\nu}$  for  $p \neq 2$ . If  $\nu \equiv 2 \pmod{4}$ , similarly consider the curve

$$y^s = f_{2,2,1}(x) = (1 + x^{q^{\frac{\nu}{2}-1}-1})^a (1 + x^{q^{\frac{\nu}{2}+1}-1})^b$$

whose genus is

$$g = (s-1) \frac{(1+q^2)}{2} q^{\frac{\nu}{2}-1} - 2(s-1),$$

and  $S_{\nu,s}(f_{2,2,1}) = q^\nu$ . If  $\nu \equiv 0 \pmod{4}$  we can write  $f_2(x)$  here as

$$f_2(x) = x^s (1 + x^{q-1})^s \left( \frac{1 + x^{q^{\frac{\nu}{2}-1}-1}}{1 + x^{q-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu}{2}+1}-1}}{1 + x^{q-1}} \right)^b.$$

$y^s = f_2(x)$  curve is birationally isomorphic to the curve

$$y^s = f_{2,2,2}(x) = \left( \frac{1 + x^{q^{\frac{\nu}{2}-1}-1}}{1 + x^{q-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu}{2}+1}-1}}{1 + x^{q-1}} \right)^b$$

whose genus is

$$g = (s-1) \frac{(1+q^2)}{2} q^{\frac{\nu}{2}-1} - (s-1)(1+q),$$

and  $S_{\nu,s}(f_{2,2,2}) = q^\nu$ .

Case(iii):

We apply the same techniques except in this case we have the fact:

If  $p = 2$ , then  $(1 + x^k, 1 + x^l) = 1 + x^{(k,l)}$ , where  $1 + x^k, 1 + x^l \in F_{q^\nu}[x]$ .

We can write  $f_1(x)$  here as

$$f_1(x) = x^s (1 + x^{q-1})^s \left( \frac{1 + x^{q^{\frac{\nu-1}{2}-1}}}{1 + x^{q-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu+1}{2}-1}}}{1 + x^{q-1}} \right)^b.$$

$y^s = f_1(x)$  curve is birationally isomorphic to

$$y^s = f_{1,3}(x) = \left( \frac{1 + x^{q^{\frac{\nu-1}{2}-1}}}{1 + x^{q-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu+1}{2}-1}}}{1 + x^{q-1}} \right)^b$$

curve. The genus is

$$g = (s-1)(1+q) \frac{q^{\frac{\nu-1}{2}}}{2} - (s-1)(1+q).$$

Moreover  $S_{\nu,s}(f_1) = q^\nu - q$  (see [18]), then  $S_{\nu,s}(f_{1,3}) = q^\nu$ .

Case(iv):

We apply the same techniques as in Case(iii). We have

$$(q^{\frac{\nu}{2}-1} - 1, q^{\frac{\nu}{2}+1} - 1) = \begin{cases} q^2 - 1 & \text{if } 4 \nmid \nu, \\ q - 1 & \text{if } 4 \mid \nu. \end{cases}$$

Thus when  $4 \nmid \nu$ ,  $y^s = f_2(x)$  is birationally isomorphic to

$$y^s = f_{2,4,1}(x) = \left( \frac{1 + x^{q^{\frac{\nu}{2}-1}-1}}{1 + x^{q^2-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu}{2}+1}-1}}{1 + x^{q^2-1}} \right)^b$$

and the genus is

$$g = (s-1)(1+q^2) \frac{q^{\frac{\nu}{2}-1}}{2} - (s-1)(1+q^2).$$

Moreover  $S_{\nu,s}(f_2) = q^\nu - q^2$  (see [18]), then  $S_{\nu,s}(f_{2,4,1}) = q^\nu$ .

When  $4 \mid \nu$ ,  $y^s = f_2(x)$  is birationally isomorphic to

$$y^s = f_{2,4,2}(x) = \left( \frac{1 + x^{q^{\frac{\nu}{2}-1}-1}}{1 + x^{q-1}} \right)^a \left( \frac{1 + x^{q^{\frac{\nu}{2}+1}-1}}{1 + x^{q-1}} \right)^b,$$

whose genus is

$$g = (s-1)(1+q^2) \frac{q^{\frac{\nu}{2}-1}}{2} - (s-1)(1+q),$$

and  $S_{\nu,s}(f_2) = q^\nu - q$  (see [18]), then  $S_{\nu,s}(f_{2,4,2}) = q^\nu$ .

### 3.4 Codes on Fibre Products of Some Kummer Coverings

In this second half of the chapter we apply some polynomials for the corresponding finite fields to the fibre products of Kummer coverings

$$y_i^\mu = f_i(x), \quad 1 \leq i \leq s \tag{1.1}$$

where  $\mu \mid (q-1)$  and we obtain the following result. Namely the polynomials we apply are  $f_i(x) = f_1(x+c)$ ,  $c \in A$ , a corresponding subset of  $F_{q^\nu}$ , where  $f_1$  is given in Table 1 for the corresponding cases below.

**Table 1**

the field  $F_{q^\nu}$ ,  $\nu > 2$ ,  $p$ : the characteristic of the field,  
 $\mu$ : a positive integer such that  $\mu \mid (q-1)$ ,  $\mu = \mu_1 + \mu_2$ ,  
where  $\mu_1, \mu_2$  are positive integer with  $\gcd(\mu, \mu_1) = 1$

Case 1	$p > 2, v : \text{ odd}$	$f_1(x) = (1 + x^{q^{(\nu-1)/2}-1})^{\mu_1} (1 + x^{q^{(\nu+1)/2}-1})^{\mu_2}$
Case 2	$p > 2, v \equiv 2 \pmod{4}$	$f_1(x) = (1 + x^{q^{\nu/2-1}-1})^{\mu_1} (1 + x^{q^{\nu/2+1}-1})^{\mu_2}$
Case 3	$p > 2, v \equiv 0 \pmod{4}$	$f_1(x) = \left(\frac{1 + x^{q^{\nu/2-1}-1}}{1 + x^{q-1}}\right)^{\mu_1} \left(\frac{1 + x^{q^{\nu/2+1}-1}}{1 + x^{q-1}}\right)^{\mu_2}$
Case 4	$p = 2, v : \text{ odd}$	$f_1(x) = \left(\frac{1 + x^{q^{(\nu-1)/2}-1}}{1 + x^{q-1}}\right)^{\mu_1} \left(\frac{1 + x^{q^{(\nu+1)/2}-1}}{1 + x^{q-1}}\right)^{\mu_2}$
Case 5	$p = 2, v \equiv 2 \pmod{4}$	$f_1(x) = \left(\frac{1 + x^{q^{\nu/2-1}-1}}{1 + x^{q^2-1}}\right)^{\mu_1} \left(\frac{1 + x^{q^{\nu/2+1}-1}}{1 + x^{q^2-1}}\right)^{\mu_2}$
Case 6	$p = 2, v \equiv 0 \pmod{4}$	$f_1(x) = \left(\frac{1 + x^{q^{\nu/2-1}-1}}{1 + x^{q-1}}\right)^{\mu_1} \left(\frac{1 + x^{q^{\nu/2+1}-1}}{1 + x^{q-1}}\right)^{\mu_2}$

**Theorem 5** *Let  $\nu > 2$  be a positive integer,  $F_{q^\nu}$  a finite field of characteristic  $p$ ,  $\mu$  an integer  $\mu \geq 2$ ,  $\mu \mid (q-1)$ . If  $s$  is an integer satisfying the corresponding conditions given in Table 2 below, then there exists  $A_j \subset F_{q^\nu}$  for the respective cases  $j = 1, \dots, 6$  such that the affine curves given by (1.1) and Table 1 have  $N_{q^\nu} = \mu^s q^\nu$  many affine  $F_{q^\nu}$ -rational points and genera  $g_j$  as given in Table 2 below respectively.*

*Therefore if  $r$  is an integer satisfying the conditions given in Table 3 below respectively, we get linear  $[n, k, d]_{q^\nu}$ -codes with the corresponding parameters given in Table 3. Moreover the relative parameters  $R = \frac{k}{n}$  and  $\delta = \frac{d}{n}$  satisfy*

$$R \geq 1 - \delta - J(n, s, \mu, q)$$

*where  $J(n, s, \mu, q)$  is given in Table 4 respectively.*

**Table 2**

Case $j = 1, \dots, 6$	Conditions on $s$	genus, $g_j, j = 1, \dots, 6$
Case 1 $p > 2$ $\nu : \text{odd}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{(\nu-1)/2}(q + 1) - 2)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q + 1) - 2) - 2\mu \right) + 1$
Case 2 $p > 2$ $\nu \equiv 2 \pmod{4}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{\nu/2-1}(q^2 + 1) - 2)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2) - 2\mu \right) + 1$
Case 3 $p > 2$ $\nu \equiv 0 \pmod{4}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{\nu/2-1}(q^2 + 1) - 2q)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q) - 2\mu \right) + 1$
Case 4 $p = 2$ $\nu : \text{odd}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{(\nu-1)/2}(q + 1) - 2q)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q + 1) - 2q) - 2\mu \right) + 1$
Case 5 $p = 2$ $\nu \equiv 2 \pmod{4}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{\nu/2-1}(q^2 + 1) - 2q^2)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q^2) - 2\mu \right) + 1$
Case 6 $p = 2$ $\nu \equiv 0 \pmod{4}$	$1 \leq s \leq \frac{2\mu(q^\nu + 1)}{(\mu - 1)(q^{\nu/2-1}(q^2 + 1) - 2q)}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q) - 2\mu \right) + 1$

**Table 3**

Case	Condition on $r$	$[n, k, d]_{q^\nu}$
Case 1 $p > 2$ $v : \text{odd}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q+1) - 2) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q+1) - 2) - 2\mu \right)$ $d \geq n - r$
Case 2 $p > 2$ $v \equiv 2 \pmod{4}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2) - 2\mu \right)$ $d \geq n - r$
Case 3 $p > 2$ $v \equiv 0 \pmod{4}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q) - 2\mu \right)$ $d \geq n - r$
Case 4 $p = 2$ $v : \text{odd}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q+1) - 2q) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{(\nu-1)/2}(q+1) - 2q) - 2\mu \right)$ $d \geq n - r$
Case 5 $p = 2$ $v \equiv 2 \pmod{4}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q^2) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q^2) - 2\mu \right)$ $d \geq n - r$
Case 6 $p = 2$ $v \equiv 0 \pmod{4}$	$\frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q) - 2\mu \right)$ $< r < \mu^s q^\nu$	$r < n \leq \mu^s q^\nu$ $k \geq r - \frac{\mu^{s-1}}{2} \left( (\mu - 1)s(q^{\nu/2-1}(q^2+1) - 2q) - 2\mu \right)$ $d \geq n - r$

**Remark 3** *The parameters of the codes of Theorem 5 are rather good. First of all the lengths are in the order of  $\mu^s q^\nu$  which are far larger than  $q^\nu$  = the number of elements of the field and the parameters are near to Singleton bound at the same time. It is possible to calculate the minimum distance in some cases directly. For example we have such codes:*

- i) Over  $F_{27} \supset F_3$  if  $6 < r < 54$ , then it gives  $[54, r - 3, d]_{27}$  code where  $d \geq 54 - r$ . If  $r$  is even, then  $d = 54 - r$  (see Stichtenoth [50] Remark 2.2.5).*
- ii) Over  $F_{64} \supset F_4$  if  $18 < r < 192$ , then it gives  $[192, r - 9, d]_{64}$  code where  $d \geq 192 - r$ . If  $r \equiv 0 \pmod{3}$ , then  $d = 192 - r$ .*
- iii) Over  $F_{1331} \supset F_{11}$  if  $11600 < r < 133100$ , then it gives  $[133100, k, d]_{1331}$  code where  $k \geq r - 11600$  and  $d \geq 133100 - r$ .*

If  $q^\nu = p^{\nu'}$  where  $p$  is the characteristic of the field and  $\nu'$  is even, there exist better codes in some respects. For instance Hermitian codes (see for example [50], Example 6.4.2) which are maximal codes. Moreover the codes of Stepanov [47] are also better in this case if  $p \neq 2$  and longer than Hermitian codes. However the codes of Theorem 5 are even longer than the codes of [47] if  $\mu > 2$  and also includes the case  $p = 2$ .

If  $q^\nu = p^{\nu'}$  where  $\nu'$  is odd, there are not maximal codes as Hermitian codes of the case  $\nu' : \text{even}$ . Van der Geer and van der Vlugt found independently good codes by fibre products of Artin-Schreier curves [16]. The results of Theorem 5 are compatible with their results. Moreover we have one more parameter  $\mu$  and our codes are much longer than their codes while near to Singleton bound as close as their codes.

Theorem 5 also extends the results of [48] since  $\mu = 2$  was fixed in that case. Moreover in this way we get similar results also for characteristic  $p = 2$  fields.

It is known that by fibre products of Kummer coverings of the affine line, one cannot get asymptotically good curves (see [8]). This explains why  $s$  and therefore the length of the codes in Theorem 5 and the codes given by Geer-Vlugt are bounded. Recently Garcia and Stichtenoth gave a sequence of curves of arbitrarily large genera with good parameters over square finite fields using Artin-Schreier coverings [12].

**Table 4**

Case	$J(n, s, \mu, q)$
Case 1  $p > 2$ $\nu : \text{ odd}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{(\nu-1)/2}(q + 1) - 2) - 2\mu \right)}{2n}$
Case 2  $p > 2$ $\nu \equiv 2 \pmod{4}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2) - 2\mu \right)}{2n}$
Case 3  $p > 2$ $\nu \equiv 0 \pmod{4}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q) - 2\mu \right)}{2n}$
Case 4  $p = 2$ $\nu : \text{ odd}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{(\nu-1)/2}(q + 1) - 2q) - 2\mu \right)}{2n}$
Case 5  $p = 2$ $\nu \equiv 2 \pmod{4}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q^2) - 2\mu \right)}{2n}$
Case 6  $p = 2$ $\nu \equiv 0 \pmod{4}$	$\frac{\mu^{s-1} \left( (\mu - 1)s(q^{\nu/2-1}(q^2 + 1) - 2q) - 2\mu \right)}{2n}$

### 3.5 The Calculation of the Genus

Let  $\overline{F}_{q^\nu}$  be an algebraic closure of the field  $F_{q^\nu}$  and  $\mathbb{A}^{s+1}$  be  $(s+1)$ -dimensional affine space over  $\overline{F}_{q^\nu}$ .

Let  $\theta : F_{q^\nu} \rightarrow F_{q^\nu}$  be the Frobenius automorphism of  $F_{q^\nu}$  over  $F_q : \theta(x) = x^q$ . The multiplicative homomorphism

$$\text{norm}_\nu(x) = x \cdot \theta(x) \cdot \theta^2(x) \dots \theta^{\nu-1}(x) = x \cdot x^q \dots x^{q^{\nu-1}}$$

of the field  $F_{q^\nu}$  onto  $F_q$  is the relative norm of  $x \in F_{q^\nu}$  with respect to  $F_q$ . Let

$\chi_\mu$  be a non-trivial multiplicative character of  $F_q$  of exponent  $\mu$ , so  $\mu > 1$ . We denote by  $\chi_{\nu,\mu}$  the multiplicative character of  $F_{q^\nu}$  induced by  $\chi_\mu$ :

$$\chi_{\nu,\mu}(x) = \chi_\mu(\text{norm}_\nu(x)).$$

For  $f(x) \in F_{q^\nu}[x]$  we denote by  $S_{\nu,\mu}(f)$  the sum

$$S_{\nu,\mu}(f) = \sum_{x \in F_{q^\nu}} \chi_{\nu,\mu}(f(x)).$$

**Lemma 4** *Let  $f_{i,1}, f_{i,2}, \dots, f_{i,s} \in F_q[x]$  be square-free monic polynomials of the same degree  $m_i$  for  $i = 1, 2$ . Let  $\mu_1, \mu_2$  be positive integers,  $\mu \geq 2$  a positive integer with  $\mu \mid q - 1$ ,  $\gcd(\mu, \mu_1) = 1$ , and  $m_1\mu_1 + m_2\mu_2 \geq \mu + 1$ . Assume  $f_{i,j}$ ,  $i = 1, 2, \dots, s$ ,  $j = 1, 2$  be pairwise coprime polynomials in  $F_q[x]$ . Let  $Y$  be the fibre product in  $\mathbb{A}^{s+1}$  given over  $F_q[x]$  via*

$$z_1^\mu = (f_{1,1}(x))^{\mu_1} (f_{1,2}(x))^{\mu_2},$$

$$Y : \quad z_2^\mu = (f_{2,1}(x))^{\mu_1} (f_{2,2}(x))^{\mu_2},$$

$$\vdots$$

$$z_s^\mu = (f_{s,1}(x))^{\mu_1} (f_{s,2}(x))^{\mu_2}.$$

Moreover let  $m = m_1\mu_1 + m_2\mu_2$  and assume  $(m, \mu) = 1$  or  $(m, \mu) = \mu$ . Then the genus  $g = g(Y)$  of the curve  $Y$  is

$$g = \begin{cases} \frac{\mu^{s-1}}{2}((\mu - 1)s(m_1 + m_2) - (\mu + 1)) + 1 & \text{if } (m, \mu) = 1 \\ \frac{\mu^{s-1}}{2}((\mu - 1)s(m_1 + m_2) - (2\mu)) + 1 & \text{if } (m, \mu) = \mu. \end{cases}$$

PROOF. The plan of the proof is as follows. First we consider the curve with  $\mu_1 = \mu_2 = 1$ :

$$z_1^\mu = f_{1,1}(x)f_{1,2}(x),$$

$$Y : \quad \quad \quad \vdots$$

$$z_s^\mu = f_{s,1}(x)f_{s,2}(x).$$

Note that the affine curve  $Y$  is non-singular and we compute the genus using the same methods of Lemma 1 [48]. Then we consider for general  $\mu_1, \mu_2$ . In this case the affine curve is singular in general. We add contributions of these singularities to the genus using Riemann-Hurwitz formula.

Now let  $\mu_1 = \mu_2 = 1$ . Let  $I$  be the ideal of the curve  $Y$  over  $\overline{F_q}$  and  $\overline{Y}$  be the projective closure of  $Y$  in  $\mathbb{P}^{s+1}$ . The homogeneous ideal of  $\overline{Y}$  in



$\overline{F}_q[x_0, x, z_1, \dots, z_s]$  has the form  $I_h = \{f(x/x_0, z_1/x_0, \dots, z_s/x_0)x_0^{\deg f} \mid f \in I\}$ . Thus  $\overline{Y} = Y \cup \{[0 : 0 : \xi_1 : \dots : \xi_s]\}$  where  $\xi^\mu = 1$  for  $i = 1, \dots, s$  and the curve  $\overline{Y}$  is singular at  $\mu^{s-1}$  points  $P_i \in \{[0 : 0 : \xi_1 : \dots : \xi_s]\}$  in general.

Let  $X$  be normalization of  $\overline{Y}$ . There exists a finite regular morphism  $\phi_1 : X \rightarrow \overline{Y}$ . Let  $\phi_2 : \overline{Y} \rightarrow \mathbb{P}^1$  be the projection  $[x_0, x : z_1 : \dots : z_s] \rightarrow [x_0 : x]$ . Then  $\phi : X \rightarrow \mathbb{P}^1$  is a finite regular surjective morphism of degree  $\mu^s$  where  $\phi = \phi_2 \circ \phi_1$ . Since  $\overline{Y}$  has already  $\mu^{s-1}$  points  $P_i$ ,  $1 \leq i \leq \mu^{s-1}$  at the hypersurface  $x_0 = 0$ ,  $\phi^{-1}([0 : 1])$  consists of  $\mu^s$  or  $\mu^{s-1}l$ ,  $1 < l$ ,  $l \mid \mu$  points call  $\{Q_i\} \subset X$ , by symmetry.

Let  $\Omega[Y]$  be the  $\overline{F}_q[x, z_1, \dots, z_s]$  module of regular differential forms generated by  $dx$  and  $dz_i$ ,  $1 \leq i \leq s$ . Since  $z_i^\mu = f_i(x)$  for  $i = 1, 2, \dots, s$  we have

$$\Omega[Y] = \langle \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}} \mid 1 \leq i_1 < i_2 < \dots < i_\sigma \leq s, 0 \leq n_{i_j} \leq \mu - 1, j = 1, \dots, \sigma \rangle_{\overline{F}_q[x]}$$

since the affine curve  $Y$  is non-singular. Therefore  $\Omega[X]$  is an  $\overline{F}_q[x]$  submodule of  $\Omega[Y]$  since  $\phi$  is regular. Hence any differential form  $\omega \in \Omega[X]$  has the form

$$\omega = F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}},$$

where  $F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \in \overline{F}_q[x]$ . Note that any differential form  $\omega \in \Omega[X]$  is non-singular at any point of  $X$  except  $Q \in \phi^{-1}\{[0 : 1]\}$ .

Let  $x$  be the coordinate on  $\mathbb{P}^1$ , then  $u = x^{-1}$  is a local parameter at the infinity point  $[0 : 1] \in \mathbb{P}^1$ . Since  $x$  is a rational function on  $\mathbb{P}^1$ , it defines the divisor  $(x) \in \text{Div}(\mathbb{P}^1)$ . Denoting  $\phi^{-1}(x) \in \overline{F}_q(X)$  a rational function on  $X$  by  $x$  and its divisor by  $(x)$  again, we get the pull-back divisor  $(x) \in \text{Div}(X)$ .

If  $|\{Q_i\}| = |\phi^{-1}([0 : 1])| = \mu^s$ , then  $v_{Q_i}(u) = 1$ . If  $|\{Q_i\}| = \mu^{s-1}l$ , Then  $v_{Q_i}(u) = d$  and  $d \mid \mu$  since  $\mu^s = d\mu^{s-1}l$  using the formula  $\deg \phi \cdot v_{[0:1]}(u) = \sum_{Q_i} v_{Q_i}(u)$ . Now there are two cases to consider in our lemma:  $(\mu, m) = 1$  and  $\mu \mid m$ . Let  $Q \in \{Q_i\}$ .

**Case  $(\mu, m) = 1$ :** If  $v_Q(u) = 1$ , then  $v_Q(x) = -1$ ,  $v_Q(z_i^\mu) = -m$ , and  $v_Q(z_i) = -m/\mu \notin \mathbb{Z}$ , a contradiction. Thus  $v_Q(u) = d$  and  $d \mid \mu$ . Hence  $v_Q(z_i) = -md/\mu$  and  $\mu \mid d$ , so  $\mu = d$ . In short we have

- 1)  $v_Q(x) = -\mu$ ,
- 2)  $v_Q(z_i) = -m$  for  $i = 1, \dots, s$ ,
- 3)  $v_Q(dx) = -(\mu + 1)$ .

In this case

$$\omega = F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}} \in \Omega[X]$$

if and only if  $v_Q(\omega) \geq 0$ . This means

$$\deg F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \leq \frac{m(n_1 + \dots + n_{i_\sigma}) - (\mu + 1)}{\mu}.$$

If  $m(n_{i_1} + \dots + n_{i_\sigma}) - 1 \equiv k \pmod{\mu}$  where  $k = 0, 1, \dots, \mu - 1$ , then

$$\left\lfloor \frac{m(n_{i_1} + \dots + n_{i_\sigma}) - (\mu + 1)}{\mu} \right\rfloor = \frac{m(n_{i_1} + \dots + n_{i_\sigma}) - (\mu + 1) - k}{\mu},$$

where  $\lfloor \cdot \rfloor$  is the greatest integer function. Therefore we have

$$\begin{aligned} & \dim_{\overline{F}_Q} \left\{ F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}} \mid m(n_{i_1} + \dots + n_{i_\sigma}) \equiv k + 1 \pmod{\mu} \right\} \\ &= \frac{m(n_{i_1} + \dots + n_{i_\sigma}) - (k + 1)}{\mu}. \end{aligned}$$

To calculate genus we use a generating function for partitions. Let

$$\begin{aligned} u(x) &= (1 + x + \dots + x^{\mu-1})^s = 1 + c_1 x + c_2 x^2 + \dots + c_{(\mu-1)s} x^{(\mu-1)s} \\ &= 1 + x(c_1 + c_{\mu+1} x^\mu + \dots) + x^2(c_2 + c_{\mu+2} x^\mu + \dots) + \dots + x^\mu(c_\mu + c_{2\mu} x^\mu + \dots). \end{aligned}$$

Let

$$\begin{aligned} L_1 &= c_1 + c_{\mu+1} + \dots, \\ L_2 &= c_2 + c_{\mu+2} + \dots, \\ &\vdots \\ L_\mu &= c_\mu + c_{2\mu} + \dots. \end{aligned}$$

Let  $\theta = e^{\frac{2\pi i}{\mu}}$ . Then we have

$$\begin{aligned} u(1) - 1 &= L_1 + L_2 + \dots + L_\mu, \\ u(\theta) - 1 &= L_1 \theta + L_2 \theta^2 + \dots + L_\mu \theta^\mu, \end{aligned}$$

$$u(\theta^{\mu-1}) - 1 = L_1 \theta^{(\mu-1)} + L_2 \theta^{2(\mu-1)} + \dots + L_\mu \theta^{\mu(\mu-1)}.$$

In matrix form

$$\underbrace{\begin{bmatrix} 1 & 1 & 1 \\ \theta & \theta^2 & \theta^\mu \\ \theta^2 & \theta^4 & \theta^{2\mu} \\ \vdots & \vdots & \vdots \\ \theta^{(\mu-1)} & \theta^{2(\mu-1)} & \dots & \theta^{\mu(\mu-1)} \end{bmatrix}}_A \begin{bmatrix} L_1 \\ L_2 \\ L_3 \\ \vdots \\ L_\mu \end{bmatrix} = \begin{bmatrix} \mu^s - 1 \\ -1 \\ -1 \\ \vdots \\ -1 \end{bmatrix}.$$

Note that  $A = [A_{ij}]_{\mu \times \mu} = [\theta^{(i-1)j}]$ . Then  $L_i = \frac{\Delta_i}{\Delta}$  where  $\Delta = \det A$ ,  $\Delta_i = \det A_i$  and  $A_i$  is the matrix whose  $i$ th column is interchanged with

$[\mu^s-1, -1, \dots, -1]^T$ . We have  $L_1 = L_2 = \dots = L_{\mu-1} = \mu^{s-1}$  and  $L_\mu = \mu^{s-1} - 1$ . Similarly let

$$\begin{aligned} v(x) &= \frac{d}{dx} u(x) = s(1 + x + \dots + x^{\mu-1})^{s-1} (1 + 2x + 3x^2 + \dots + (\mu-1)x^{\mu-2}), \\ &= c_1 + 2c_2x + 3c_3x^2 + \dots, \\ &= (c_1 + (\mu+1)c_{\mu+1}x^\mu + \dots) + x(2c_2 + (\mu+2)c_{\mu+2}x^\mu + \dots) + \dots, \end{aligned}$$

and

$$\begin{aligned} \tilde{L}_1 &= c_1 + (\mu+1)c_{\mu+1} + \dots, \\ \tilde{L}_2 &= 2c_2 + (\mu+2)c_{\mu+2} + \dots, \\ &\vdots \\ \tilde{L}_\mu &= \mu c_\mu + (2\mu)c_{2\mu} + \dots. \end{aligned}$$

Then we have

$$\tilde{L}_1 + \tilde{L}_2 + \dots + \tilde{L}_\mu = v(1) = s\mu^{s-1} \frac{\mu(\mu-1)}{2}.$$

Note that

$$L_k = \sum_{\sigma=1}^s \sum_{1 \leq i_1 < i_2 < \dots < i_\sigma \leq s} \sum_{\substack{0 \leq n_{i_1} \leq \mu-1 \\ 0 \leq n_{i_2} \leq \mu-1 \\ \vdots \\ 0 \leq n_{i_\sigma} \leq \mu-1}} \delta_k(n_{i_1}, \dots, n_{i_\sigma})$$

and

$$\tilde{L}_k = \sum_{\sigma=1}^s \sum_{1 \leq i_1 < i_2 < \dots < i_\sigma \leq s} \sum_{\substack{0 \leq n_{i_1} \leq \mu-1 \\ 0 \leq n_{i_2} \leq \mu-1 \\ \vdots \\ 0 \leq n_{i_\sigma} \leq \mu-1}} (n_{i_1} + \dots + n_{i_\sigma}) \delta_k(n_{i_1}, \dots, n_{i_\sigma})$$

where

$$\delta_k(n_{i_1}, \dots, n_{i_\sigma}) = \begin{cases} 1 & \text{if } n_{i_1} + \dots + n_{i_\sigma} \equiv k \pmod{\mu}, \\ 0 & \text{else.} \end{cases}$$

Therefore the genus of  $Y$   $g = g(Y)$  is

$$\begin{aligned}
g &= \frac{m}{\mu} \sum_{k=1}^{\mu-1} \tilde{L}_k - \frac{1}{\mu} \sum_{k=1}^{\mu-1} k L_k + \frac{m}{\mu} \tilde{L}_\mu - \frac{\mu}{\mu} L_\mu \\
&= \frac{m}{\mu} s \mu^s \frac{\mu-1}{2} - \frac{1}{\mu} \sum_{k=1}^{\mu-1} k \mu^{s-1} - \frac{\mu}{\mu} (\mu^{s-1} - 1) \\
&= \frac{ms \mu^{s-1} (\mu-1)}{2} - \frac{1}{\mu} \sum_{k=1}^{\mu} k \mu^{s-1} + 1 \\
&= \frac{ms \mu^{s-1} (\mu-1)}{2} - \frac{1}{\mu} \mu^{s-1} \frac{\mu(\mu+1)}{2} + 1 \\
&= \frac{\mu^{s-1}}{2} (ms(\mu-1) - (\mu+1)) + 1.
\end{aligned}$$

**Case  $\mu \mid m$ :** In this case we have

- 1)  $v_Q(x) = \frac{-\mu}{l}$ ,
- 2)  $v_Q(z_i) = \frac{-m}{l}$  for  $i = 1, 2, \dots, s$ ,
- 3)  $v_Q(dx) = -(\frac{\mu}{l} + 1)$ ,

where  $l = \mu/d$ . Therefore

$$\omega = F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}} \in \Omega[X]$$

if and only if

$$\deg F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \leq \frac{m}{\mu} (n_{i_1} + \dots + n_{i_\sigma}) - 2.$$

Thus

$$\begin{aligned}
&\dim_{\overline{F_{q^\nu}}} \{ F_{(i_1, n_{i_1}), \dots, (i_\sigma, n_{i_\sigma})}(x) \frac{dx}{z_{i_1}^{n_{i_1}} \dots z_{i_\sigma}^{n_{i_\sigma}}} \in \Omega[X] \} \\
&= \frac{m}{\mu} (n_{i_1} + \dots + n_{i_\sigma}) - 1.
\end{aligned}$$

Therefore the genus  $g = g(Y)$  is

$$\begin{aligned}
g &= \frac{m}{\mu} \sum_{k=1}^{\mu} \tilde{L}_k - \sum_{k=1}^{\mu} L_k \\
&= \frac{m}{\mu} (s \frac{\mu^s (\mu-1)}{2}) - (\mu \mu^{s-1} - 1) \\
&= \frac{\mu^{s-1}}{2} (ms(\mu-1) - 2\mu) + 1.
\end{aligned}$$

Now we can compute the genus for general  $(\mu_1, \mu_2)$  using Riemann-Hurwitz formula. Recall that if  $\phi : X \rightarrow \mathbb{P}^1$  is a finite regular morphism of projective irreducible curves, then

$$g(X) = 1 + \frac{1}{2} \sum_{P \in X \setminus \phi^{-1}([0:1])} (e_P - 1) + \frac{1}{2} \sum_{Q \in \phi^{-1}([0:1])} (e_Q - 1) - \deg \phi$$

where  $e_P$  and  $e_Q$  are ramification indices of  $\phi$  at  $P$  and  $Q$  respectively. Let

$$Y_1 : \begin{aligned} z_1^\mu &= f_{1,1}(x)^{\mu_1} f_{1,2}(x)^{\mu_2} \\ &\vdots \\ z_s^\mu &= f_{s,1}(x)^{\mu_1} f_{s,2}(x)^{\mu_2} \end{aligned}$$

be the general form of the curve that we want to calculate its genus. Let

$$Y_2 : \begin{aligned} z_1^\mu &= f_1 \\ &\vdots \\ z_s^\mu &= f_s \end{aligned}$$

be the curve where  $\mu_1 = \mu_2 = 1$  and  $m = \deg f_i$  for  $i = 1, \dots, s$ ,  $f_i$  are pairwise coprime. If  $X_i$  is the normalization of the projectivization of  $Y_i$  and  $\phi_i \rightarrow \mathbb{P}^1$  the corresponding maps, then  $\deg \phi_i = \mu^s$ ,  $i = 1, 2$ . Moreover

$$\sum_{Q \in \phi_1^{-1}([0:1])} (e_Q - 1) = \sum_{Q \in \phi_2^{-1}([0:1])} (e_Q - 1)$$

since  $m = \deg f_i$ ,  $i = 1, \dots, s$ . Consider the curve  $Y_1$ . If  $\phi_1(P) = [1, t]$ ,  $t \in \overline{F}_{q^\nu}$  and  $(f_{1,1}(t)f_{1,2}(t)) \dots (f_{s,1}(t)f_{s,2}(t)) \neq 0$ , then  $|\phi_1^{-1}([1, t])| = \mu^s$  and  $e_P = 1$  for each  $P \in \phi_1^{-1}([1, t])$ . If  $\phi_1(P) = [1, t]$  and  $f_{1,1}(t) = 0$ , then  $(f_{1,2}(t))(f_{2,1}(t)f_{2,2}(t)) \dots (f_{s,1}(t)f_{s,2}(t)) \neq 0$  since they are relatively prime polynomials. Therefore  $|\phi_1^{-1}([1, t])| = \mu^{s-1}$  and  $e_P = \mu$  for each  $P \in \phi_1^{-1}([1, t])$ . This holds for other polynomials also. Therefore

$$\sum_{P \in X_1 \setminus \phi_1^{-1}([0:1])} (e_P - 1) = s(m_1 + m_2)(\mu - 1)\mu^{s-1}.$$

Similarly for  $Y_2$  we have

$$\sum_{P \in X_2 \setminus \phi_2^{-1}([0:1])} (e_P - 1) = sm(\mu - 1)\mu^{s-1}.$$

Therefore if we denote genus of  $Y_i$  by  $g_i$ ,  $i = 1, 2$  we have

$$g_1 = g_2 + \frac{s(m_1 + m_2)(\mu - 1)\mu^{s-1}}{2} - \frac{sm(\mu - 1)\mu^{s-1}}{2}.$$

But we know

$$g_2 = \begin{cases} \frac{\mu^{s-1}}{2}((\mu-1)sm - (\mu+1)) + 1 & \text{if } (m, \mu) = 1, \\ \frac{\mu^{s-1}}{2}((\mu-1)sm - 2\mu) + 1 & \text{if } (m, \mu) = \mu. \end{cases}$$

Adding the difference we prove the lemma.  $\blacksquare$

**Remark 4** *Note that there exists a different method to calculate the genus given by Xing [56]. Our method, which is a generalization of that of Stepanov's, allows us to find explicitly a basis for regular differential forms on the curve. Moreover this provides a fast decoding algorithm following the arguments of the proof of Lemma 4 after the resolution of affine singularities.*

### 3.6 The Calculation of The Number of $F_{q^\nu}$ -rational Points

**Lemma 5** *Let  $\nu > 1$  be an integer,  $F_{q^\nu}$  a finite field of characteristic  $p$ ,  $\mu \geq 2$  an integer,  $\mu \mid (q-1)$ ,  $\mu_1, \mu_2$  positive integers with  $\mu_1 + \mu_2 = \mu$  and  $\gcd(\mu, \mu_1) = 1$ . Then there exist  $A_j \subset F_{q^\nu}$  for the cases  $j = 1, \dots, 6$  corresponding to the Table 1 such that the curve  $Y$  defined by*

$$Y : z_i^\mu = f_1(x + c_i), \quad 1 \leq i \leq s$$

*where  $f_1$  is defined in Table 1,  $s \leq |A_j|$  is absolutely irreducible and it has  $\mu^s q^\nu$  many  $F_{q^\nu}$ -rational affine points in  $\mathbb{A}_{F_{q^\nu}}^{s+1}$ . Moreover  $|A_j| = q^\nu$  for  $j = 1, 2$ ,  $|A_4| = q^{\nu-1}$ , and  $|A_j| = q^{\nu-2}$  for  $j = 3, 5, 6$ .*

PROOF. The proofs are similar for all six cases. We give the proof for the Case 3, i.e.  $p > 2$ ,  $\nu \equiv 0 \pmod{4}$ .  $f_1(x) = \left(\frac{1+x^{q^{\nu/2-1}-1}}{1+x^{q-1}}\right)^{\mu_1} \left(\frac{1+x^{q^{\nu/2+1}-1}}{1+x^{q-1}}\right)^{\mu_2}$  in this case.

Let  $g_1(x) = (x^{q^{\nu/2-1}} + x)$  and  $H_1 = \{c \in F_{q^\nu} \mid c^{q^{\nu/2-1}} + c = 0\}$ . Observe that  $H_1$  is an additive subgroup of  $F_{q^\nu}$  with  $H_1 = \{0\} \cup \{g^{\frac{2s+1}{2}(q+1)} \mid 0 \leq s \leq q-2, g \text{ is a generator of } F_{q^2}^*\}$  and  $\gcd(g_1(x), g_1(x+c)) = 1$  for  $c \in F_{q^\nu} \setminus H_1$ .

Let  $g_2(x) = (x^{q^{\nu/2+1}} + x)$ . Then  $\gcd(g_2(x), g_2(x+c)) = 1$  for  $c \in F_{q^\nu} \setminus H_1$  similarly.

Let  $\delta = \frac{\nu}{2} - 1$  and  $I$  be the ideal of  $F_{q^\nu}[x]$  defined by  $I = (g_2(x+c), g_1(x))$  where  $c \in F_{q^\nu}$ . Using Euclidean algorithm we get  $I = (x^{q^\delta} + x, -x^{q^2} + x +$

$c^{q^{\delta+2}} + c$ ) (see the proof of Lemma 2 in [48]). Moreover if  $J = (x^{q^\delta} + x, -x^{q^{\delta+2}} + x^{q^\delta} + c_1^{q^{\delta+2}} + c_1)$  where  $c_1 = c^{q^\delta}$ , then

$$I \supset J = (x^{q^\delta} + x, x^{q^{\delta+2}} + x - c_1^{q^{\delta+2}} - c_1).$$

Since  $g_2(x + c) \in I$ , if

$$c^{q^{\delta+2}} + c + c_1^{q^{\delta+2}} + c_1 \neq 0, \quad (1.2)$$

then  $I = (1)$ . But (1.2) holds iff

$$(c^{q^{\delta+2}} + c + c_1^{q^{\delta+2}} + c_1)^{q^\delta} = (c^{q^\delta} + c)^{q^\delta} + (c^{q^\delta} + c) \neq 0. \quad (1.3)$$

Let  $\tau$  be the additive homomorphism defined by

$$\tau : F_{q^\nu} \rightarrow F_{q^\nu}, \quad \tau(c) = c^{q^\delta} + c.$$

Then  $\ker \tau = H_1$ . Let  $H_2 = \tau^{-1}(H_1)$  be the inverse image of  $H_1$ .  $H_2$  is again an additive subgroup of  $F_{q^\nu}$  and  $|H_2| = |H_1| |\ker \tau| = q^2$ . The inequality (1.3) is satisfied when  $c \notin F_{q^\nu} \setminus H_2$ . Then  $A_3$  is a complete set of representatives of  $F_{q^\nu}/H_2$ . Therefore  $\gcd(f_1(x + c), f_1(x)) = 1$  over  $F_{q^\nu}[x]$  in this case and  $Y$  is absolutely irreducible.

By similar arguments we find  $A_1 = A_2 = F_{q^\nu}^*$ ,  $A_4$  as a complete set of representatives of  $F_{q^\nu}/F_q$ , and  $A_5 = A_6$  as a complete set of representatives of  $F_{q^\nu}/F_{q^2}$ .

Let  $\chi$  be any non-trivial multiplicative character of  $F_{q^\nu}$  of exponent  $\mu$  and  $\chi_{\nu,\mu}$  be the multiplicative character of  $F_{q^\nu}$  induced by  $\chi$ . It follows that

$$\chi_{\nu,\mu}(f_1(a)) = 1, \quad \text{for all } a \in F_{q^\nu}$$

in each case (see [18]). Moreover the number of  $F_{q^\nu}$ -rational affine points of the curve  $Y$  (see for example [42] or [39]) is

$$\begin{aligned} N_{q^\nu} &= \sum_{x \in F_{q^\nu}} \prod_{i=1}^s (1 + \sum_{\substack{\chi : \text{non-trivial multiplicative} \\ \text{character of exponent } \mu}} \chi_{\nu,\mu}(f(x + c_i))) \\ &= \sum_{x \in F_{q^\nu}} \prod_{i=1}^s \mu \\ &= \mu^s q^\nu. \end{aligned}$$

■

### 3.7 Proof of Theorem 5

Note that  $f_1$  satisfies the conditions of Lemma 4 in the respective cases. Therefore the genera of the curves  $g_j$  are as given in Table 2. By Lemma 5 it has  $\mu^s q^\nu$  many  $F_{q^\nu}$ -rational affine points. By normalization of the curve  $Y$  we get a non-singular model  $\tilde{Y}$  without losing  $F_{q^\nu}$ -rationality of these points (see for example [40], Section 5.3). Let  $S$  be the corresponding set of  $F_{q^\nu}$ -rational points of  $\tilde{Y}$  and  $S_1 \subset S$  a subset of  $S$ . Applying Goppa's construction to

$$D_0 = \sum_{P \in S_1} P$$

and

$$D = rP_\infty$$

where  $r < \deg D_0 = |S_1|$  and  $P_\infty$  is a point of non-singular model corresponding to a point at infinity of the projectivization of the affine model  $Y$ , we get  $r < n \leq \mu^s q^\nu$ ,  $k \geq r + 1 - g$ ,  $d \geq n - r$ . Moreover if  $2g - 2 < r = \deg D < n$ , then  $k = r + 1 - g$ .



## Chapter 4

# Configurations of Lines and Fibre Products of Some Kummer Extensions

The purpose of this chapter is to show a correspondence between configurations of lines in  $\mathbb{F}_q \times \mathbb{F}_q$  and a class of fibre products of Kummer extensions which gives families of very good codes over  $\mathbb{F}_{q^2}$  improving the results of [46] and [47]. See also [37].

### 4.1 Introduction

Let  $\mathbb{F}_q$  be a finite field with  $q$  elements and  $X$  an absolutely irreducible smooth projective curve over  $\mathbb{F}_q$ . It is well-known that using  $\mathbb{F}_q$ -rational points of  $X$  we get linear codes by Goppa construction (see for example [19], [44] or [50]). For fixed  $q$ , it is important to get "good"  $[n, k, d]_q$  codes, which means  $n$  is large with respect to  $q$  and  $k + d$  is near to  $n + 1$ . Note that  $n + 1 \geq k + d$  by Singleton bound.

Stepanov [46], [47] considered the curves over finite fields  $\mathbb{F}_{q^2}$  of characteristic  $p \neq 2$  of the form

$$y_i^2 = x + x^q + c_i \quad i = 1, 2, \dots, s \quad (1.1)$$

where  $c_1, c_2, \dots, c_s$  are distinct elements of  $\mathbb{F}_q$ .

In this chapter we consider the projective curve  $X$  whose affine model is of the form

$$X : y_i^{\mu_i} = \text{tr}(a_i x + b_i) \quad i = 1, 2, \dots, s$$

where  $a_i \in \mathbb{F}_{q^2}^*$ ,  $b_i \in \mathbb{F}_{q^2}$ ,  $1 < \mu_i \mid (q+1)$  for  $i = 1, 2, \dots, s$  and  $\text{tr}(\alpha) = \alpha + \alpha^q$  under a condition so that the smooth projective model  $\tilde{X}$  is absolutely irreducible.

We first observe a bijection between such curves and lines in  $\mathbb{F}_q \times \mathbb{F}_q$ .

**Lemma 6** *Let  $\mathbb{F}_q$  be a finite field with  $q$  elements. There is a bijection between the sets*

$$S := \{A_{a,b} \subset \mathbb{F}_{q^2} \mid A_{a,b} = \{\alpha \in \mathbb{F}_{q^2} \mid \text{tr}(a\alpha + b) = 0\}, a \in \mathbb{F}_{q^2}^*, b \in \mathbb{F}_{q^2}\}$$

and

$$T := \{B_{c_1, c_2, c_3} \subset \mathbb{F}_q \times \mathbb{F}_q \mid B_{c_1, c_2, c_3} = \{(\beta_1, \beta_2) \mid c_1\beta_1 + c_2\beta_2 + c_3 = 0\}, [c_1 : c_2] \in \mathbb{P}_{\mathbb{F}_q}^1, c_3 \in \mathbb{F}_q\}$$

where  $\mathbb{P}_{\mathbb{F}_q}^1$  is the projective line over  $\mathbb{F}_q$ .

We fix the notation for  $S$  and  $T$  and fix a bijection  $\phi : T \rightarrow S$ . Moreover denote by  $\tilde{\phi} : T \rightarrow \mathbb{F}_{q^2}[x]$  a fixed injection such that  $\tilde{\phi}(B) = ax + b$  where  $\phi(B) = \{\alpha \in \mathbb{F}_{q^2} \mid \text{tr}(a\alpha + b) = 0\}$ ,  $a \in \mathbb{F}_{q^2}^*$  and  $b \in \mathbb{F}_{q^2}$ .

**Lemma 7** *Let  $T_1 = (B_1, B_2, \dots, B_s)$  where  $B_i \in T$  such that  $B_{i+1} \not\subseteq \cup_{j=1}^i B_j$  for  $i \geq 1$ . If  $1 < \mu_i \mid (q+1)$  for  $i = 1, 2, \dots, s$ , then the smooth projective curve  $\tilde{X}$  whose affine model is given by*

$$X : y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i = 1, 2, \dots, s \tag{1.2}$$

*is a Kummer extension of  $\mathbb{F}_{q^2}(x)$  of degree  $\prod_{i=1}^s \mu_i$ , in particular it is absolutely irreducible.*

Therefore the condition that  $c_1, c_2, \dots, c_s$  are distinct elements of  $\mathbb{F}_q$  for the curve 1.1 is transformed into the condition of Lemma 7 for the curve 1.2.

To get a neat statement for our theorem we define two more functions.

**Definition 1** Let  $T_1 = (B_1, B_2, \dots, B_s)$  satisfy the condition of Lemma 7 and  $1 < \mu_i | (q+1)$  for  $i = 1, 2, \dots, s$ . We define the functions  $u_{T_1}$  and  $w_{T_1}$  as

$$u_{T_1}, w_{T_1} : \mathbb{F}_q \times \mathbb{F}_q \rightarrow \mathbb{Q}^+ \text{ via}$$

$$u_{T_1}(\alpha, \beta) = \frac{1}{\mu_1^{l_1(\alpha, \beta)} \dots \mu_s^{l_s(\alpha, \beta)}}, \quad w_{T_1}(\alpha, \beta) = \frac{1}{\text{lcm}(\mu_1^{l_1(\alpha, \beta)}, \dots, \mu_s^{l_s(\alpha, \beta)})}$$

where  $\alpha, \beta \in \mathbb{F}_q$  and

$$l_i(\alpha, \beta) = \begin{cases} 1 & \text{if } (\alpha, \beta) \in B_i \\ 0 & \text{if } (\alpha, \beta) \notin B_i \end{cases}$$

for  $i = 1, 2, \dots, s$ .

**Theorem 6** Let  $T_1 = (B_1, B_2, \dots, B_s)$  satisfy the condition of Lemma 7 and  $1 < \mu_i | (q+1)$  for  $i = 1, 2, \dots, s$ . Then the absolutely irreducible smooth projective curve whose affine model is given by

$$y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i = 1, 2, \dots, s$$

has

$$N = 1 + \left( \prod_{i=1}^s \mu_i \right) \left( \sum_{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q} u_{T_1}(\alpha, \beta) \right)$$

$\mathbb{F}_{q^2}$ -rational affine places and has genus

$$g = 1 - \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) \left( 1 + \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)} \right) + \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) \left( \sum_{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q} (1 - w_{T_1}(\alpha, \beta)) \right).$$

**Corollary 3** Let  $T_1 = (B_1, B_2, \dots, B_s)$  and  $T_2 = (C_1, C_2, \dots, C_s)$  satisfy the condition of Lemma 7. Moreover assume  $1 < \mu_1 = \mu_2 = \dots = \mu_s | (q+1)$  and  $\# \cup_{i=1}^s B_i < \# \cup_{i=1}^s C_i$ . If  $X_i$  is the curve defined in the Theorem 6 for  $T_i$   $i = 1, 2$  respectively, then

$$N_1 > N_2 \text{ and } g_1 < g_2$$

where  $N_i$  and  $g_i$  are the number of  $\mathbb{F}_{q^2}$ -rational affine points and genera for the curves  $X_i$  respectively.

**Remark 5** If  $B_1, B_2, \dots, B_s \subset \mathbb{F}_q \times \mathbb{F}_q$  are parallel affine lines and  $2 = \mu_1 = \mu_2 = \dots = \mu_s$ , then the corresponding curve is the same as 1.1 that has been studied in [46] and [47]. See also Section 4.2.

**Remark 6** *Theorem 6 converts the problem into a problem of finding the "densest" configuration of lines of  $\mathbb{F}_q \times \mathbb{F}_q$  in the respect of the condition of Lemma 7. This seems to be a difficult combinatorial problem. However in Section 4.2 we give two immediate examples improving the results of [46] and [47].*

**Remark 7** *We improve the previous results in many respects. First of all we get much longer codes even with larger  $\frac{N}{g}$  ratios, which means they are nearer to the Singleton bound. Moreover we cover all characteristics and especially the corresponding codes span a much denser spectrum. This is important since  $[n, k, d]_{q^2}$  parameters are just discrete points.*

**Remark 8** *The proofs are based on algebraic function field theory. One of the reasons for giving a different proof than the explicit method of Stepanov is to give a different flavour. Although this method does not compute the linear space of the regular differential forms on the curve, it is shorter. Note that since the polynomials are square-free, using the methods of [46], [47] or [36], it is possible to find explicitly the linear space of regular differential forms, i.e. to give a proof independent from the Riemann-Hurwitz genus formula.*

In Section 4.2 we apply Theorem 6 to some examples of configurations of lines in  $\mathbb{F}_q \times \mathbb{F}_q$  improving the results of [46] and [47]. We prove the lemmas and Theorem 6 in Section 4.3.

## 4.2 Applications of Theorem 6 giving good codes

**Example 1** (Parallel lines). This is the configuration that Stepanov used. Namely let

$$B_i = \{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q \mid \alpha + \beta + h(i) = 0\} \quad 1 \leq i \leq q$$

where  $h : \{1, 2, \dots, q\} \rightarrow \mathbb{F}_q$  is a fixed bijection. Then  $T_1 = (B_1, B_2, \dots, B_s)$  satisfies the conditions of Lemma 7 for  $s \leq q$ . Assume  $1 < \mu_i \mid (q+1)$  for  $i = 1, 2, \dots, s$ , then

$$u_{T_1}(\alpha, \beta) = w_{T_1}(\alpha, \beta) = \begin{cases} \frac{1}{\mu_i} & \text{if } (\alpha, \beta) \in B_i \text{ for some } i = 1, 2, \dots, s, \\ 1 & \text{if } (\alpha, \beta) \notin \cup_{i=1}^s B_i \end{cases}$$

since they are mutually disjoint. Then

$$\begin{aligned} N &= \left(\prod_{i=1}^s \mu_i\right) \left( \sum_{i=1}^s \sum_{(\alpha, \beta) \in B_i} \frac{1}{\mu_i} + \sum_{(\alpha, \beta) \notin \cup_{i=1}^s B_i} 1 \right) \\ &= \left(\prod_{i=1}^s \mu_i\right) \left( q \left( \frac{1}{\mu_1} + \frac{1}{\mu_2} + \cdots + \frac{1}{\mu_s} \right) + (q^2 - sq) \right) \end{aligned}$$

and

$$\begin{aligned} g &= 1 - \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) \left( 1 + \frac{1}{\text{lcm}(\mu_1, \mu_2, \dots, \mu_s)} \right) + \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) \left( \sum_{i=1}^s \sum_{(\alpha, \beta) \in B_i} \left( 1 - \frac{1}{\mu_i} \right) \right) \\ &= 1 - \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) \left( 1 + \frac{1}{\text{lcm}(\mu_1, \mu_2, \dots, \mu_s)} \right) + \frac{1}{2} \left( \prod_{i=1}^s \mu_i \right) q \left( s - \left( \frac{1}{\mu_1} + \frac{1}{\mu_2} + \cdots + \frac{1}{\mu_s} \right) \right). \end{aligned}$$

In particular if  $\mu = \mu_1 = \mu_2 = \cdots = \mu_s$ , then

$$N = \mu^s \left( q \frac{s}{\mu} + q^2 - sq \right),$$

$$g = 1 - \frac{1}{2} \mu^s \left( 1 + \frac{1}{\mu} \right) + \frac{1}{2} \mu^s q \left( s - \frac{s}{\mu} \right).$$

Moreover if  $\mu = 2$ , then we get the results in [46] and [47].

**Example 2**(Lines through the origin). This example gives a slightly improved configuration, but Example 3 is much better. Let

$$B_i = \{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q \mid h_1(i)\alpha + h_2(i)\beta = 0\}$$

where  $h : \{1, 2, \dots, q+1\} \rightarrow \mathbb{P}_{\mathbb{F}_q}^1$  via  $i \mapsto h(i) = [h_1(i) : h_2(i)]$  is a fixed bijection. Then  $T_1 = (B_1, B_2, \dots, B_s)$  satisfies the condition of Lemma 7 for  $s \leq q+1$ . Moreover

$$u_{T_1}(\alpha, \beta) = \begin{cases} \frac{1}{\mu_i} & \text{if } (\alpha, \beta) \in B_i \text{ for some } i = 1, 2, \dots, s \text{ and } (\alpha, \beta) \neq (0, 0) \\ \prod_{i=1}^s \frac{1}{\mu_i} & \text{if } (\alpha, \beta) = (0, 0) \\ 1 & \text{if } (\alpha, \beta) \notin \cup_{i=1}^s B_i \end{cases}$$

and

$$w_{T_1}(\alpha, \beta) = \begin{cases} \frac{1}{\mu_i} & \text{if } (\alpha, \beta) \in B_i \text{ for some } i = 1, 2, \dots, s \text{ and } (\alpha, \beta) \neq (0, 0) \\ \frac{1}{\text{lcm}(\mu_1, \mu_2, \dots, \mu_s)} & \text{if } (\alpha, \beta) = (0, 0) \\ 1 & \text{if } (\alpha, \beta) \notin \cup_{i=1}^s B_i. \end{cases}$$

Therefore

$$\begin{aligned} N &= \left( \prod_{i=1}^s \mu_i \right) \left( \prod_{i=1}^s \frac{1}{\mu_i} + \sum_{i=1}^s \sum_{\substack{(\alpha, \beta) \in B_i \\ (\alpha, \beta) \neq (0, 0)}} \frac{1}{\mu_i} + \sum_{(\alpha, \beta) \notin \cup_{i=1}^s B_i} 1 \right) \\ &= \left( \prod_{i=1}^s \mu_i \right) \left( \prod_{i=1}^s \frac{1}{\mu_i} + (q-1) \left( \frac{1}{\mu_1} + \cdots + \frac{1}{\mu_s} \right) + (q^2 - s(q-1) - 1) \right), \end{aligned}$$

and

$$\begin{aligned}
g &= 1 - \frac{1}{2}(\prod_{i=1}^s \mu_i)(1 + \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)}) \\
&\quad + \frac{1}{2}(\prod_{i=1}^s \mu_i)(1 - \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)} + \sum_{i=1}^s \sum_{\substack{(\alpha, \beta) \in B_i \\ (\alpha, \beta) \neq (0,0)}} (1 - \frac{1}{\mu_i})) \\
&= 1 - \frac{1}{2}(\prod_{i=1}^s \mu_i)(1 + \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)}) \\
&\quad + \frac{1}{2}(\prod_{i=1}^s \mu_i)(1 - \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)} + (q-1)s - (q-1)(\frac{1}{\mu_1} + \dots + \frac{1}{\mu_s})).
\end{aligned}$$

In particular if  $\mu = \mu_1 = \mu_2 = \dots = \mu_s$ , then

$$\begin{aligned}
N &= \mu^s(\frac{1}{\mu^s} + (q-1)\frac{s}{\mu} + q^2 - sq + s - 1) \\
&= \mu^s((\frac{qs}{\mu} + q^2 - sq) + (s + \frac{1}{\mu^s} - \frac{s}{\mu} - 1)) \\
g &= 1 - \frac{1}{2}\mu^s(1 + \frac{1}{\mu}) + \frac{1}{2}\mu^s(1 - \frac{1}{\mu} + (q-1)s - (q-1)\frac{s}{\mu}) \\
&= 1 - \frac{1}{2}\mu^s(1 + \frac{1}{\mu}) + \frac{1}{2}\mu^s((qs - \frac{qs}{\mu}) - (s + \frac{1}{\mu} - \frac{s}{\mu} - 1)).
\end{aligned}$$

Although the configuration changes slightly for  $s \geq 2$ ,  $N$  increases and  $g$  decreases. Moreover the upper bound on  $s$  is improved from  $q$  to  $q+1$  thus allowing to longer codes.

**Example 3**(Lines forming a net). This example is the best in these there examples. Let

$$C_i = \{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q | \alpha + h(i) = 0\}$$

and

$$\bar{C}_i = \{(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q | \beta + h(i) = 0\}$$

where  $h : \{1, 2, \dots, q\} \rightarrow \mathbb{F}_q$  is a fixed bijection and  $1 \leq i \leq q$ . Let

$$B_i = \begin{cases} C_{\frac{i+1}{2}} & \text{if } i : \text{odd} \\ \bar{C}_{\frac{i}{2}} & \text{if } i : \text{even.} \end{cases}$$

Then  $T_1 = (B_1, B_2, \dots, B_s)$  satisfies the condition of Lemma 7 if  $s \leq 2q - 1$ .

Let  $s = 2s_1 < 2q$  for simplicity. Then

$$u_{T_1}(\alpha, \beta) = \begin{cases} \frac{1}{\mu_i \mu_j} & \text{if } (\alpha, \beta) \in B_i \cap B_j \text{ for some } 1 \leq i \leq j \leq s \\ \frac{1}{\mu_i} & \text{if } (\alpha, \beta) \in B_i \setminus \bigcup_{\substack{j=1 \\ j \neq i}}^s B_j \text{ for some } 1 \leq i \leq s \\ 1 & \text{if } (\alpha, \beta) \notin \bigcup_{i=1}^s B_i \end{cases}$$

and

$$w_{T_1}(\alpha, \beta) = \begin{cases} \frac{1}{\text{lcm}(\mu_i, \mu_j)} & \text{if } (\alpha, \beta) \in B_i \cap B_j \text{ for some } 1 \leq i \leq j \leq s \\ \frac{1}{\mu_i} & \text{if } (\alpha, \beta) \in B_i \setminus \bigcup_{\substack{j=1 \\ j \neq i}}^s B_j \text{ for some } 1 \leq i \leq s \\ 1 & \text{if } (\alpha, \beta) \notin \bigcup_{i=1}^s B_i. \end{cases}$$

Therefore

$$\begin{aligned} N &= (\prod_{i=1}^s) \left( \sum_{\substack{(\alpha, \beta) \in B_i \cap B_j \\ 1 \leq i \leq j \leq s}} \frac{1}{\mu_i \mu_j} + \sum_{\substack{(\alpha, \beta) \in B_i \setminus \bigcup_{\substack{j=1 \\ j \neq i}}^s B_j \\ 1 \leq i \leq s}} \frac{1}{\mu_i} + \sum_{(\alpha, \beta) \notin \bigcup_{i=1}^s B_i} 1 \right) \\ &= (\prod_{i=1}^s) \left( \left( \frac{1}{\mu_1} + \frac{1}{\mu_3} + \dots + \frac{1}{\mu_{2s_1-1}} \right) \left( \frac{1}{\mu_2} + \frac{1}{\mu_4} + \dots + \frac{1}{\mu_{2s_1}} \right) \right. \\ &\quad \left. + (q - s_1) \left( \frac{1}{\mu_1} + \frac{1}{\mu_2} + \dots + \frac{1}{\mu_{2s_1}} \right) + q^2 - (2s_1q - s_1^2) \right). \end{aligned}$$

and

$$\begin{aligned} g &= 1 - \frac{1}{2} (\prod_{i=1}^s \mu_i) \left( 1 + \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)} \right) \\ &\quad + \frac{1}{2} (\prod_{i=1}^s \mu_i) \left( \sum_{\substack{(\alpha, \beta) \in B_i \cap B_j \\ 1 \leq i \leq j \leq s}} \left( 1 - \frac{1}{\text{lcm}(\mu_i, \mu_j)} \right) + \sum_{\substack{(\alpha, \beta) \in B_i \setminus \bigcup_{\substack{j=1 \\ j \neq i}}^s B_j \\ 1 \leq i \leq s}} \left( 1 - \frac{1}{\mu_i} \right) \right) \\ &= 1 - \frac{1}{2} (\prod_{i=1}^s \mu_i) \left( 1 + \frac{1}{\text{lcm}(\mu_1, \dots, \mu_s)} \right) \\ &\quad + \frac{1}{2} (\prod_{i=1}^s \mu_i) \left( s_1^2 - \sum_{i=1}^{s_1} \sum_{j=1}^{s_1} \frac{1}{\text{lcm}(\mu_{2i-1}, \mu_{2j})} + (q - s_1) 2s_1 - (q - s_1) \sum_{i=1}^{2s_1} \frac{1}{\mu_i} \right). \end{aligned}$$

In particular if  $\mu = \mu_1 = \mu_2 = \dots = \mu_s$ , then

$$\begin{aligned} N &= \mu^s \left( \left( \frac{s}{2\mu} \right)^2 + \left( q - \frac{s}{2} \right) \frac{s}{\mu} + q^2 - \left( sq - \frac{s^2}{4} \right) \right) \\ &= \mu^s \left( \left( \frac{qs}{\mu} + q^2 - sq \right) + \left( \frac{s^2}{4} + \frac{s^2}{4\mu^2} - \frac{s^2}{2\mu} \right) \right) \\ g &= 1 - \frac{1}{2} \mu^s \left( 1 + \frac{1}{\mu} \right) + \frac{1}{2} \mu^s \left( \frac{s^2}{4} - \frac{s^2}{4\mu} + \left( q - \frac{s}{2} \right) s - \left( q - \frac{s}{2} \right) \frac{s}{\mu} \right) \\ &= 1 - \frac{1}{2} \mu^s \left( 1 + \frac{1}{\mu} \right) + \frac{1}{2} \mu^s \left( (qs - \frac{qs}{\mu}) - \left( \frac{s^2}{4} - \frac{s^2}{4\mu} \right) \right). \end{aligned}$$

Therefore  $N$  increases and  $g$  decreases as  $s$  is bounded from above by  $2q - 1$  instead of  $q$ . This result is much better than Example 1 and Example 2.

**Remark 9** *There are better configurations than Example 3. For instance lines forming a triangle is better for  $s = 3$ . In fact there are two problems: To increase  $s$  and for fixed  $s$  to get the "densest" configuration. These problems are*

not independent, i.e. for  $s_1, s_2$  compatible with  $q$ , if  $T_1$  and  $T_2$  are configurations with  $s_1$  and  $s_2$  respectively such that  $s_1 < s_2$ , then  $T_1$  can have larger number of rational points than  $T_2$ . Moreover if we also allow  $\mu$ 's to differ, the problem is more difficult.

However in any case  $s < (q+1)q = |T|$  at least, so  $N < \infty$  which means that these classes of curves are asymptotically bad. This also follows from the well-known result of Frey-Perret-Stichtenoth (see [8]).

### 4.3 Proof of Lemmas and Theorem 6

PROOF. [Proof of Lemma 6] Observe that if  $a \in \mathbb{F}_{q^2}^*$  and  $b \in \mathbb{F}_{q^2}$ , then

$$\gcd(\text{tr}(x), \text{tr}(ax + b)) = \begin{cases} x^q + x & \text{if } a \in \mathbb{F}_q^* \text{ and } \text{tr}(b) = 0 \\ x + \frac{\text{tr}(b)}{a - a^q} & \text{if } a \notin \mathbb{F}_q^* \\ 1 & \text{if } a \in \mathbb{F}_q^* \text{ and } \text{tr}(b) \neq 0. \end{cases}$$

Indeed  $\langle \text{tr}(x), \text{tr}(ax + b) \rangle = \langle x^q + x, \text{tr}(ax + b) - a^q \text{tr}(x) \rangle = \langle x^q + x, x(a - a^q) + \text{tr}(b) \rangle$  and if  $a \notin \mathbb{F}_q^*$ , then  $(\frac{\text{tr}(b)}{a - a^q})^q - (\frac{\text{tr}(b)}{a - a^q}) = 0$ .

If  $a, \bar{a} \in \mathbb{F}_{q^2}^*$  and  $b, \bar{b} \in \mathbb{F}_{q^2}$ , then by a linear change of variables we obtain

$$\gcd(\text{tr}(ax + b), \text{tr}(\bar{a}x + \bar{b})) = \begin{cases} \text{tr}(ax + b) & \text{if } \frac{\bar{a}}{a} \in \mathbb{F}_q^* \text{ and } a \text{tr}(\bar{b}) = \bar{a} \text{tr}(b) \\ x + \frac{\text{tr}(\bar{b} - \frac{\bar{a}}{a}b)}{\frac{\bar{a}}{a} - (\frac{\bar{a}}{a})^q} & \text{if } \frac{\bar{a}}{a} \notin \mathbb{F}_q^* \\ 1 & \text{if } \frac{\bar{a}}{a} \in \mathbb{F}_q^* \text{ and } a \text{tr}(\bar{b}) \neq \bar{a} \text{tr}(b). \end{cases}$$

Therefore  $|S| = \frac{(q^2-1)q^2}{(q-1)q} = (q+1)q = |T|$ .

Let  $\{w, w^q\}$  be a fixed normal basis of  $\mathbb{F}_{q^2}$  over  $\mathbb{F}_q$ . Moreover let  $a = a_1w + a_2w^q$ ,  $b = b_1w + b_2w^q$ ,  $w^2 = k_1w + k_2w^q$ , and  $w^{q+1} = lw + lw^q$ . Consider the map

$$\psi : S \rightarrow T$$

defined by

$$\psi(A_{a,b}) = B_{a_1(k_1+k_2)+2la_2, a_2(k_1+k_2)+2la_1, b_1+b_2}.$$

To prove the surjectivity of  $\psi$ , it is enough to prove that the matrix

$$\begin{bmatrix} k_1 + k_2 & 2l \\ 2l & k_1 + k_2 \end{bmatrix}$$

is nonsingular. Indeed it is nonsingular, otherwise  $(k_1 + k_2) = \mp 2l$ . Therefore  $w^2 + w^{2q} = \mp w^{q+1}$  and so that  $(w \pm w^q)^2 = 0$ , which is a contradiction to



the fact that  $\{w, w^q\}$  is a basis of  $\mathbb{F}_{q^2}$  over  $\mathbb{F}_q$ . Since  $|T| = |S| < \infty$ ,  $\psi$  is a bijection. ■

PROOF. [Proof of Lemma 7] Let  $a_1 \in \mathbb{F}_{q^2}^*$ ,  $b_1 \in \mathbb{F}_{q^2}$  and  $1 < \mu_1|(q+1)$ . Then

$$y_1^{\mu_1} = \text{tr}(a_1x + b_1)$$

is a Kummer extension of  $\mathbb{F}_{q^2}(x)$ . Indeed if  $P$  is a place of  $\mathbb{F}_{q^2}(x)$  corresponding to a zero of  $\text{tr}(a_1x + b_1)$ , then  $v_P(\text{tr}(a_1x + b_1)) = 1$ . Therefore it is a Kummer extension of degree  $\mu_1$  (see [50] III.7.4).

Assume that  $a_2 \in \mathbb{F}_{q^2}^*$ ,  $b_1 \in \mathbb{F}_{q^2}$ ,  $1 < \mu_1|(q+1)$  and there exists a place  $Q$  of  $\mathbb{F}_{q^2}(x)$  such that  $v_Q(\text{tr}(a_2x + b_2)) = 1$  and  $v_Q(\text{tr}(a_1x + b_1)) = 0$ . Then by the same argument and Abhyankar's Lemma (see [50] III.8.9)

$$y_1^{\mu_1} = \text{tr}(a_1x + b_1)$$

$$y_2^{\mu_2} = \text{tr}(a_2x + b_2)$$

is a Kummer extension of degree  $\mu_1\mu_2$ . By the property of  $T_1$ , for any  $2 \leq j \leq s$  there exists a place  $Q_j$  of  $\mathbb{F}_{q^2}(x)$  such that  $v_{Q_j}(\tilde{\phi}(B_j)) = 1$  and  $v_{Q_j}(\tilde{\phi}(B_i)) = 0$  for  $i = 1, 2, \dots, j-1$ . Therefore

$$y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i = 1, 2, \dots, s$$

is a Kummer extension of  $\mathbb{F}_{q^2}(x)$  of degree  $\prod_{i=1}^s \mu_i$ , in particular it is absolutely irreducible. ■

PROOF. [Proof of Theorem 6] Note that if  $1 < \mu|(q+1)$  and  $\alpha \in \mathbb{F}_q^*$ , then

$$y^\mu = \alpha$$

has  $\mu$  distinct solutions in  $\mathbb{F}_{q^2}$  as  $\{g^{\frac{q+1}{2}t_0 + \frac{q^2-1}{\mu}s} \mid s = 0, 1, \dots, \mu-1\}$  where  $g$  is a generator of the cyclic group  $\mathbb{F}_{q^2}^*$  and  $\alpha = g^{(q+1)t_0}$ . Therefore if  $(\alpha, \beta) \notin \cup_{i=1}^s B_i$ , then there exists a unique corresponding place  $P$  of  $\mathbb{F}_{q^2}(x)$  such that  $P$  splits in each extension

$$y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i = 1, 2, \dots, s.$$

Therefore there are

$$\prod_{i=1}^s \mu_i = (\prod_{i=1}^s \mu_i) u_{T_1}(\alpha, \beta)$$

many rational places over the place  $P$  on the curve.

Similarly if  $(\gamma, \delta) \in B_{i_1} \cap \dots \cap B_{i_{s_1}}$   $1 \leq s_1 \leq s$ , then there exists a unique corresponding place  $Q$  of  $\mathbb{F}_{q^2}(x)$  such that  $Q$  splits in the extensions

$$y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i \in \{1, 2, \dots, s\} \setminus \{i_1, i_2, \dots, i_{s_1}\}$$

and is totally ramified in the extensions

$$y_i^{\mu_i} = \text{tr}(\tilde{\phi}(B_i)) \quad i \in \{i_1, i_2, \dots, i_{s_1}\}.$$

Therefore there are

$$\frac{\prod_{i=1}^s \mu_i}{\mu_{i_1} \mu_{i_2} \dots \mu_{i_{s_1}}} = \left( \prod_{i=1}^s \mu_i \right) u_{T_1}(\gamma, \delta)$$

many rational places over the place  $Q$  on the curve.

Summing all these gives  $N$  the number of  $\mathbb{F}_{q^2}$  rational affine points on the curve.

To prove the genus formula, first recall the Riemann-Hurwitz genus formula for tamely ramified extensions of algebraic function fields  $F'/F$  having the same constant field

$$g' = 1 + n(g - 1) + \frac{1}{2} \sum_{P \in \mathbb{P}_F} \sum_{P'|P} (e(P'|P) - 1) \deg P'$$

where  $n = [F' : F]$ ,  $g'$  is the genus of  $F'$ ,  $g$  is the genus of  $F$ ,  $\mathbb{P}_F$  is the set of places of  $F$  and  $e(P'|P)$  is the ramification index (see [50] III.5.6).

Since  $F = \mathbb{F}_{q^2}(x)$ ,  $g = 0$  and  $n = \prod_{i=1}^s \mu_i$  by Lemma 7. Moreover  $\text{tr}(ax + b)$  splits over  $F_{q^2}$  for any  $a \in \mathbb{F}_{q^2}^*$  and  $b \in \mathbb{F}_{q^2}$ . Therefore we need to take into account only the places of  $F$  corresponding to the points of  $\mathbb{F}_q \times \mathbb{F}_q$  and  $P_\infty$ , the place where  $v_{P_\infty}(x) = -1$ .

Let  $P'_i$  be a place of  $F(y_i)$  over  $P_\infty$ . Then  $e(P'_i|P) = \mu_i$  for  $i = 1, 2, \dots, s$ . If  $P'$  is a place of  $F'$  over  $P_\infty$ , then by Abhyankar's Lemma (see [50] III.8.9)

$$e(P'_i|P) = \text{lcm}(\mu_1, \mu_2, \dots, \mu_s). \quad (1.3)$$

Let  $(\alpha, \beta) \in \mathbb{F}_q \times \mathbb{F}_q$  and  $P$  be the place of  $F$  corresponding to  $(\alpha, \beta)$ . If  $P'_i$  is a place of  $F(y_i)$  over  $P$ , then

$$e(P'_i|P) = \begin{cases} 1 & \text{if } (\alpha, \beta) \in B_i \\ 0 & \text{if } (\alpha, \beta) \notin B_i \end{cases}$$

Thus by Abhyankar's Lemma if  $P'$  is a place of  $F'$  over  $P$ , then

$$e(P'|P) = \text{lcm}(\mu_1^{l_1}, \mu_2^{l_2}, \dots, \mu_s^{l_s}) \quad (1.4)$$

where

$$l_i = \begin{cases} 1 & \text{if } P \in B_i \\ 0 & \text{if } P \notin B_i. \end{cases}$$

Therefore in particular  $e(P'|P)$  does not depend on the choice of  $P'$  over  $P$ .  
Let  $e(P) := e(P'|P)$ , then

$$\begin{aligned} \sum_{P \in \mathbb{P}_F} \sum_{P'|P} (e(P'|P) - 1) \deg P' &= \sum_{P \in \mathbb{P}_F} (e(P) - 1) \sum_{P'|P} \deg P' \\ &= \sum_{P \in \mathbb{P}_F} (e(P) - 1) \frac{n}{e(P)} \deg P \\ &= n \sum_{P \in \mathbb{P}_F} (1 - \frac{1}{e(P)}) \deg P \end{aligned}$$

(see the proof of [50] III.7.3.c). Therefore

$$g' = 1 - (\prod_{i=1}^s \mu_i) + \frac{1}{2} (\prod_{i=1}^s \mu_i) \sum_{P \in \mathbb{P}_F} (1 - \frac{1}{e(P)}) \deg P.$$

Using 1.3, 1.4 and the fact that  $\deg P = 1$  for places with  $e(P) > 1$ , we get the genus formula. This proves the theorem.  $\blacksquare$

# Chapter 5

## Towers of Function Fields over Finite Fields

For a tower  $F_1 \subseteq F_2 \subseteq \cdots$  of algebraic function fields  $F_i/\mathbb{F}_q$ , define  $\lambda := \lim_{i \rightarrow \infty} N(F_i)/g(F_i)$ , where  $N(F_i)$  is the number of rational places and  $g(F_i)$  is the genus of  $F_i/\mathbb{F}_q$ . The purpose of this chapter is to calculate  $\lambda$  for a class of towers which was studied in [14], [15] and [53]. See also [38].

### 5.1 Introduction

Let  $\mathbb{F}_q$  be a finite field with  $q$  elements and  $F/\mathbb{F}_q$  an algebraic function field, i.e. an algebraic extension of the rational function field  $\mathbb{F}_q(x)$  of finite degree such that  $\mathbb{F}_q$  is algebraically closed in  $F$ . We denote by  $N(F)$  the number of rational places of  $F/\mathbb{F}_q$  and by  $g(F)$  the genus of the function field. Weil's theorem states that

$$|N(F) - (q + 1)| \leq 2g(F)q^{1/2}.$$

Fixing  $q$ , for large genera  $g$  this bound could be improved. Namely let  $N_q(g) = \max\{N(F) | F \text{ is a function field over } \mathbb{F}_q \text{ of genus } g\}$  and  $A(q) = \limsup_{g \rightarrow \infty} N_q(g)/g$ , then by Drinfeld-Vladut bound

$$A(q) \leq \sqrt{q} - 1.$$

If  $q$  is a square, Ihara and Tsfasman-Vladut-Zink proved that

$$A(q) = \sqrt{q} - 1.$$

If  $q$  is not square, the exact value of  $A(q)$  is unknown. Serre showed

$$A(q) \geq c \log q > 0 \text{ for all } q$$

with some small constant  $c > 0$ .

A *tower of function fields* over  $\mathbb{F}_q$  is a sequence  $\mathcal{F} = (F_1, F_2, \dots)$  of function fields  $F_i/\mathbb{F}_q$  having the following properties: (i)  $F_1 \subseteq F_2 \subseteq F_3 \subseteq \dots$ , (ii) for every  $n \geq 1$ , the extension  $F_{n+1}/F_n$  is separable of degree  $> 1$ , and (iii)  $g(F_j) > 1$  for some  $j \geq 1$ . Let  $\lambda(\mathcal{F}) := \lim_{n \rightarrow \infty} N(F_n)/g(F_n)$ .  $\mathcal{F}$  is called *asymptotically good* if  $\lambda(\mathcal{F}) > 0$ .

It is clear that  $\lambda(\mathcal{F}) \leq A(q)$ . Garcia-Stichtenoth-Thomas [14] have recently given examples for any  $q = p^e$ ,  $e \geq 2$  such that  $\lambda(\mathcal{F}) \geq \frac{2}{q-2}$ . Namely they constructed a tower of function fields over  $\mathbb{F}_q$ ,  $q = p^e$ , where  $F_n = \mathbb{F}_q(x_1, \dots, x_n)$  and

$$x_{i+1}^m + (x_i + 1)^m = 1, \quad i = 1, \dots, n-1, \quad m = \frac{p^e - 1}{p - 1}.$$

It would be interesting if the actual value of  $\lambda(\mathcal{F})$  was large.

Thomas [53] showed  $\lambda(\mathcal{F}) = \frac{2}{q-2}$  for a few fixed values of  $q$ .

In this chapter we prove the equality for a class of towers for any value of  $q$  when  $q$  is a square.

**Theorem 7** *Let  $\mathbb{F}_{q^2}$  be a finite field with  $q^2$  elements. Let  $F_n = \mathbb{F}_{q^2}(x_1, x_2, \dots, x_n)$  be the algebraic function field where*

$$x_{i+1}^{q+1} + (x_i + 1)^{q+1} = 1, \quad i = 1, 2, \dots, n-1.$$

*Let  $\mathcal{F}$  be the tower of function fields over  $\mathbb{F}_{q^2}$  given by  $\mathcal{F} = (F_1, F_2, \dots, F_n, \dots)$ . Then*

$$\lambda(\mathcal{F}) = \frac{2}{q^2 - 2}.$$

## 5.2 Proof of Theorem 7

Let  $\mathbb{P}_{F_n}$  denote the set of places of  $F_n$ ,  $n \geq 1$ ,  $P_\infty$  be the place of  $F_1$  where  $v_{P_\infty}(x_1) = -1$ . Let

$$S(\mathcal{F}) = \{P \in \mathbb{P}_{F_1} \mid P \text{ is ramified in } F_n/F_1 \text{ for some } n \geq 2\}.$$

It is known that ([14], Example 2.3)

$$S(\mathcal{F}) \subseteq \{P \in \mathbb{P}_{F_1} \mid P \text{ is a rational place and } P \neq P_\infty\}. \quad (1.1)$$

Let

$$A_n = \sum_{P \in S(\mathcal{F})} \sum_{P' \in \mathbb{P}_{F_n, P'} \mid P} P'.$$

**Claim:**  $\lim_{n \rightarrow \infty} \frac{\deg A_n}{(q+1)^n} = 0$ .

The claim shows the equality of two sets in 1.1, since otherwise there would be a finite place which is unramified in all extensions and hence the limit would be positive.

By Riemann-Hurwitz genus formula

$$2g(F_n) - 2 = [F_n : F_1](2g(F_1) - 2) + \deg \text{Diff}(F_n/F_1).$$

From the claim above, more precisely from the equality of the two sets in 1.1 we have

$$\deg \text{Diff}(F_n/F_1) = [F_n : F_1]q^2 - \deg A_n$$

and therefore

$$g(F_n) = [F_n : F_1](g(F_1) - 1) + \frac{q^2[F_n : F_1]}{2} - \frac{\deg A_n}{2} + 1.$$

Moreover since  $P_\infty$  splits completely in all extensions  $F_n/F_1$  we have  $[F_n : F_1] \leq N(F_n) \leq [F_n : F_1] + \deg A_n$ . Consequently our claim also proves the theorem since  $[F_n : F_1] = (q+1)^{n-1}$ .

Now we prove the claim. For  $\alpha, \beta \in \mathbb{F}_q$  let  $f(\alpha, \beta) = \#\{x \in \mathbb{F}_{q^2} \mid x^{q+1} = \alpha, x^{q+1} + x^q + x = -\beta\}$ . Then

$$\#\{(x_1, x_2) \in \mathbb{F}_{q^2} \times \mathbb{F}_{q^2} \mid x_2^{q+1} = 1 - (x_1 + 1)^{q+1}\} = \sum_{\alpha_1 \in \mathbb{F}_q} \sum_{\beta_1 \in \mathbb{F}_q} \sum_{\beta_2 \in \mathbb{F}_q} f(\alpha_1, \beta_1) f(\beta_1, \beta_2)$$

since  $x_2^{q+1} = -(x_1^{q+1} + x_1^q + x_1)$ . Similarly

$$\begin{aligned} & \#\{(x_1, x_2, x_3) \in \mathbb{F}_{q^2} \times \mathbb{F}_{q^2} \times \mathbb{F}_{q^2} \mid x_2^{q+1} = 1 - (x_1 + 1)^{q+1} \text{ and } x_3^{q+1} = 1 - (x_2 + 1)^{q+1}\} \\ &= \sum_{\alpha_1 \in \mathbb{F}_q} \sum_{\beta_1 \in \mathbb{F}_q} \sum_{\beta_2 \in \mathbb{F}_q} \sum_{\beta_3 \in \mathbb{F}_q} f(\alpha_1, \beta_1) f(\beta_1, \beta_2) f(\beta_2, \beta_3) \end{aligned}$$

By induction

$$\deg A_n = \sum_{\alpha \in \mathbb{F}_q} \sum_{\beta \in \mathbb{F}_q} f^n(\alpha, \beta)$$

where  $f^{i+1}(\alpha, \beta) = \sum_{h \in F_q} f^i(\alpha, h) f(h, \beta)$   $i \geq 1$ .

Let  $h : \{1, 2, \dots, q\} \rightarrow \mathbb{F}_q$  be a bijection such that  $h(1) = 1$  and  $h(q) = 0$ . Define  $G := [G_{i,j}]_{1 \leq i \leq q, 1 \leq j \leq q}$  where  $G_{i,j} = f(h(i), h(j))$ . Considering  $G : \mathbb{C}^q \rightarrow \mathbb{C}^q$  and using  $L_1$  norm we have  $\|G\| = \max_{1 \leq j \leq q} \sum_{i=1}^q |G_{i,j}|$  (see for example [4] page 165). We show  $\|G^3\| < (q+1)^3$  which finishes the proof since  $\deg A_n = \sum_{i=1}^q \sum_{j=1}^q G_{i,j}^n$ .

Firstly observe that  $0 \leq G_{i,j} \leq 2$ . The right hand side follows from the fact that if  $a, b \in \mathbb{F}_q$  and  $f(x) = \gcd(x^{q+1} + a, x^{q+1} + x^q + x + b)$ , then  $\deg f \leq 2$ . Moreover

$$\sum_{i=1}^q G_{i,j} = \begin{cases} q+1 & \text{if } j \neq 1, \\ 1 & \text{if } j = 1, \end{cases} \quad (1.2)$$

since

$$\begin{aligned} \sum_{i=1}^q G_{i,j} &= \#\{x \in \mathbb{F}_{q^2} | x^{q+1} + x^q + x = -h(j)\} \\ &= \#\{x \in \mathbb{F}_{q^2} | (x+1)^{q+1} = 1 - h(j)\} \\ &= \#\{x \in \mathbb{F}_{q^2} | x^{q+1} = 1 - h(j)\}. \end{aligned}$$

In fact  $G_{i,1} = \begin{cases} 1 & \text{if } i = 1, \\ 0 & \text{if } i \neq 1. \end{cases}$  Similarly

$$\sum_{j=1}^q G_{i,j} = \begin{cases} q+1 & \text{if } i \neq q, \\ 1 & \text{if } i = q, \end{cases} \quad \text{and} \quad G_{q,j} = \begin{cases} 1 & \text{if } j = q, \\ 0 & \text{if } j \neq q. \end{cases} \quad (1.3)$$

Using 1.2 we get

$$\begin{aligned} \sum_{i=1}^q G_{i,j}^2 &= \sum_{i=1}^q \sum_{l=1}^q G_{i,l} G_{l,j} = \sum_{l=1}^q G_{l,j} \sum_{i=1}^q G_{i,l} \\ &= (q+1) \sum_{l=1}^q G_{l,j} - q G_{1,j} \\ &= \begin{cases} (q+1)^2 - q G_{1,j} & \text{if } j \neq 1, \\ 1 & \text{if } j = 1. \end{cases} \end{aligned}$$

Moreover we also get

$$\begin{aligned} \sum_{i=1}^q G_{i,j}^3 &= \sum_{i=1}^q \sum_{l=1}^q G_{i,l} G_{l,j}^2 = \sum_{l=1}^q G_{l,j}^2 \sum_{i=1}^q G_{i,l} \\ &= (q+1) \sum_{l=1}^q G_{l,j}^2 - q G_{1,j}^2 \\ &= \begin{cases} (q+1)^3 - q(q+1) G_{1,j} - q G_{1,j}^2 & \text{if } j \neq 1, \\ 1 & \text{if } j = 1. \end{cases} \end{aligned}$$

However there exists no  $2 \leq j \leq q$  such that  $G_{1,j}^2 = 0$ . Indeed if  $G_{1,j}^2 = 0$ , then

$$G_{1,l} G_{l,j} = 0 \text{ for } l = 1, \dots, q$$

since the entries are nonnegative. Moreover the entries are bounded from above by 2 and using the properties 1.2 and 1.3, we get  $G_{1,l} = 0$  for at most  $\frac{q-1}{2}$  many values of  $l$  and  $G_{l,j} = 0$  for at most  $\frac{q-1}{2}$  many values of  $l$ . This gives a contradiction to  $G_{1,j}^2 = 0$  and completes the proof.



# Chapter 6

## Conclusion and Remarks

The purpose of this chapter is to explain some of the themes for the possible further study and recent developments.

In this thesis we mainly deal with curves with many rational points. It is possible to write down generator matrices of various Goppa codes and to get actual parameters similar to the work of Boer for more classical curves [2]. Moreover one can apply other constructions, such as H-construction (see for example [54] page 272). The curves in this thesis give fast decoding algorithms since their construction is very simple and we know explicitly the module of regular differential forms for most of them. We have also found a nontrivial connection between a difficult finite geometry problem on configurations of affine lines in the affine plane and fibre products of Kummer extensions giving curves with many rational points. Moreover we managed to calculate an important parameter for a class of towers of function fields, which are studied recently. There are many many ways for generalizations and further work on the thesis and related areas. Now we mention just a few possibilities and recent developments.

Of course one of the main goals of the subject is to construct an asymptotically (very) “good” codes obtained from an asymptotically optimal curve over  $\mathbb{F}_{q^2}$  exceeding the Gilbert-Varshamov bound (see Section 1.3). Although the existence of such sequences of curves are well-known by the celebrated paper of Tsfasman-Vladut-Zink [55] (indeed, they received the best paper award of 1983 by IEEE society), nobody could actually write down the equations of the modular curves of their paper. Manin-Vladut [27] gave a polynomial construction of these codes. They have shown that the degree of complexity for the

construction of codes from classical modular curves is of degree 20 and from Drinfeld modular curves is of degree 30. Lopez Jimenez [25] reduced the degree for Drinfeld modular curves to 17. Recently Garcia-Stichtenoth [12] [13] gave explicit towers of function fields over  $\mathbb{F}_{q^2}$   $(F_i/\mathbb{F}_{q^2})_{i \geq 1}$  which are optimal. To construct corresponding Goppa codes, it is necessary to obtain explicit description of the bases for suitable vector spaces  $L(D^{(i)})$  where  $D^{(i)}$  is a divisor of  $F_i/\mathbb{F}_{q^2}$ . Voss-Hoholdt [57] could determine for  $F_1$ ,  $F_2$ , and  $F_3$ . Hache [20] determined also  $F_4$  over  $\mathbb{F}_{16}$ . In this direction Pellikaan-Stichtenoth-Torres [31] [32] determine explicitly Weierstrass semigroups of  $P_\infty^i$ , where  $P_\infty^i$  is the rational point of degree 1 corresponding naturally to the place at infinity of  $F_i/\mathbb{F}_{q^2}$ . However the question is still open and Ruud Pellikaan has called this process as “hunting missing functions”!

Another approach in the subject is to give an “elementary” treatment of the algebraic geometric (AG) codes. This has also been proposed as an open problem in [54]. It is desirable to give a treatment of AG codes independent of algebraic geometry, known as “AG codes without AG” [5], [6], [7], [21], and [33]. This approach is mostly impressed by Weierstrass semigroups which improve designed minimum distance of Goppa construction and is utilized in decoding of codes.

Over  $\mathbb{F}_{q^2}$ , curves with the maximal number of rational points,  $N_{q^2} = q^2 + 1 + 2gq$ , where  $g$  is the genus of the curve, are called *maximal curves*. Stöhr-Voloch [52] developed a wonderful theory of these curves improving ideas of Stepanov. Later Rainer Fuhrman, an academic child of Henning Stichtenoth, and Fernando Torres, an academic grandchild of Karl-Otto Stöhr via Arnaldo Garcia, proved that such curves may have genus only  $g \leq \frac{(q-1)^2}{4}$  or  $g = (q-1)q$  [9], which was conjectured by Stichtenoth-Torres [51] (see also [10]). The methods of [52] are very strong.

Recently H. Niederreiter, and C. Xing [29] improved the Serre’s lower bound  $A(q)$  for  $q$  not a prime using class field theory. This gives an analogous result of Tsfasman-Vladut-Zink [55] for  $\mathbb{F}_{q^\nu}$   $\nu \geq 3$ . However the method is not constructive.

In his thesis Michael Thomas [53] have explicitly found infinitely many distinct points in the interval  $[0, q]$  which are limit points of  $\frac{N_{q^2}}{g}$  ratios for various sequences of algebraic curves over  $\mathbb{F}_{q^2}$ . However these points form a set with only one accumulation point: 0.

Algebraic function fields (or algebraic curves) with many rational points

are also useful for cryptology [28], low-disperancy sequences [30], and fast multiplication over finite fields after an ingenious idea of Chudnovsky brothers [3], [41], [1].

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## Vita

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